



# Due Diligence Form

DDF1 | Personal Accounts

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Platform | Investment | Treasury

# Due Diligence Form

## DDF1 | Personal Accounts



Please complete all fields, as missing information will cause delays when processing your application.

### 1 Applicant Details

If there are more than the allocated number of applicants, then please submit a separate sheet.

<b>First Applicant</b>	Title	MS	<b>Second Applicant</b>	Title	
Surname	JAIMES ROMERO		Surname		
Forename(s)	ELSA JEANNETH		Forename(s)		
Other/Former Name(s)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Other/Former Name(s)		

Applicants must complete the following details with their permanent residential address. 'Care Of' & PO Box addresses are not acceptable.

Address	Property Name/Number	CASA 9	Address		
	Street Name	carrera 21 # 128D-25			
	City/Town/Province	BOGOTA			
	Country/Region	COLOMBIA			
Postcode	Post Code	110121	Postcode		
Contact Number	573102139601	Mob/W/A	Contact Number	H / W / M	
E-mail Address	elsajames1@yahoo.com		E-mail Address		
Date of Birth	16/09/1968		Date of Birth		
Place of Birth	CoLombia		Place of Birth		
Nationality	CoLombia		Nationality		
Passport No.	PE082497		Passport No.		

You must complete the details below with your current occupation - if you have retired then please indicate this along with previous occupation.

Occupation	VICEPRESIDENTE DE EXPLORACION		Occupation		
Employer's Name	ECOPETROL		Employer's Name		
Address	Property Name/Number	7 # 37-69	Address		
	Street Name	CARRERA			
	City/Town/Province	BOGOTA			
	Country/Region	COLOMBIA			
Postcode	Post Code	110121	Postcode		

### 2 Declaration of US Citizenship or US Residence for Tax Purposes

Please tick either (a) or (b) or (c) and complete as appropriate.

- (a)  I can confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identification number (US TIN) is as follows:

US TIN

- (b)  I confirm that I was born in the US (or a US territory) but am no longer a US citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

- (c)  I confirm that I am not a US citizen or resident in the US for tax purposes.

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### 3 Declaration of Tax Residency (other than US)

I hereby confirm that I am, for tax purposes, a resident in the following country(ies) and the appropriate tax identification number(s) and/or National Insurance number (for UK purposes) is:

Country/Countries of Tax Residence	Tax Identification/National Insurance Number	First Applicant/Second Applicant	N/A
Colombia	63342742		

If a Tax Reference Number is not available, please provide a brief explanation/rationale to the reason(s) below:

Further information on the issuance rules for TINs and their format can be found on the OECD's Automatic Exchange Portal at [www.bit.ly/OECD-Portal](http://www.bit.ly/OECD-Portal)

### 4 Account Security & Contact Preference

When contacting Capital International Group by telephone you may be asked to identify yourself.

To assist us in this regard, please provide us with a codeword of your choice. In case you cannot remember at the time of the call, we have provided space for a codeword prompt to remind you, i.e. 'Where is your place of birth?'

First Applicant		Second Applicant	
Codeword	<input type="text"/>	Codeword	<input type="text"/>
Codeword Prompt	<input type="text"/>	Codeword Prompt	<input type="text"/>

If you are linked to multiple accounts with us, one codeword prompt and codeword will be used for all accounts where you have the authority to act.

Preferred Contact Method  Mail / Email / Telephone

#### Applicant Correspondence Address

Only required if different to residential address

Postcode

Applicants may require correspondence sent to an alternative address. 'Care Of' & PO Box addresses are acceptable for this purpose only.

**NOTE:**

If you require correspondence to be sent to your Financial Adviser then please complete the relevant section of the Product Application Form.

### 5 Bank/Building Society Account Details

Please complete this section with your banking details.

Not only will these be used to fulfill our regulatory requirements but distributions and withdrawals can be made directly to your bank or building society.

Bank/Building Society Name	<input type="text" value="BBVA"/>		
Branch	<input type="text" value="COLOMBIA"/>		
Account Currency (Please indicate as appropriate)	<input type="text" value="USD"/>	GBP / USD / EUR / Other	Branch Sort Code <input type="text"/>
Account Name	<input type="text" value="ELSA JAIMES"/>		
Account Number or IBAN	<input type="text" value="633214911"/>	SWIFT/BIC Code	<input type="text" value="GEROCORB"/>

The sort code and account number, SWIFT/BIC Code or IBAN can be obtained from your Bank or Building Society branch. Please ensure your account will accept direct credit payments through the Banks Automated Clearing System. Capital International Group does not accept instructions for payments to be made to an account other than the client's own personal account. Should the quotation of account numbers and sort code, or IBAN made by the applicant prove incorrect, Capital International Group will not accept responsibility for any loss incurred by the applicant.

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### 6 Declaration You must sign and date the form below

I/We understand that the information I/we provide on this application form, and any additional information supplied, will be processed in accordance with Capital International Group's, and those of its member companies where applicable, data protection statement(s).

I/We declare that:

- I/We am/are 18 years of age or over;
- I/We agree that this Due Diligence Form forms part of our agreement with you;
- I/We agree that the information contained within this application form is true and accurate;
- I/We agree to notify Capital International Group of any changes to the information provided on this form;
- I/We undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete;
- Where I/we am/are legally obliged to do so, I/we hereby consent to the recipient sharing this information with the relevant tax information authorities.

Unless you were introduced by an Intermediary, if you wish Capital International Group to use your personal information to tell you of other products and services which they believe may be of interest to you, then you must consent to your personal information being used in this way by putting an X in this box.

### Signatures of ALL Applicants

First Signature	Second Signature
<p>DocuSigned by:</p> <p><i>ELSA JEANNETH JAIMES ROMERO</i></p> <p>80BD555EECB7479...</p>	
Print Name	Print Name
ELSA JEANNETH JAIMES ROMERO	
Date	Date
19/9/2022	

### 7 Checklist (please put a cross 'X' in each box)

- I/We have fully completed this application form.
- I/We have signed and dated the application form.
- I/We have provided a certified copy of a valid piece of photographic ID per applicant, i.e. current passport or driving license.
- I/We have provided a certified copy of a recent piece of residential address verification per applicant, i.e. bank statement or utility bill. This can be no more than six months old.

### Notes

All document certifications must be dated and accompanied by the signatories printed name, position and contact details and include the text:

**"I certify this is a true copy of the original"**

And in the case of photographic identification:

**"I certify that this is a true copy of the original and that the photograph is a true likeness of the individual concerned"**

Suitable certifiers are restricted to the following:

- Judge
- Senior Civil Servant
- Police Officer
- Customs Officer
- Actuary
- Accountant
- Banker
- Embassy
- Consulate
- Lawyer/Advocate
- Notary
- Director/Manager/Secretary of Isle of Man regulated firm

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Notes Document Upload and Notes: All documents must be certified or accompanied by "selfie" verification

### Upload Proof of ID

Certified Copy

Uncertified Copy with "Selfie" Verification



### Upload Proof of Address

Certified Copy

Uncertified Copy with "Selfie" Verification



### Additional Documents (Optional)



#### Notes:

**Please provide any additional information which may help us to speed up the account opening process, using the "Additional Documents Upload" above to provide relevant supporting documentation where required (for example supporting documentation related to Entire Source of Wealth):**

#### Capital International Group

t +44 (0) 1624 654200 e info@capital-iom.com

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