



# SEVEN STARS

Legal Funding

## USD SERIES 1 BOND DECEMBER 2028 APPLICATION FORM

### INDIVIDUAL INVESTOR



## IMPORTANT NOTICE

**You can only use this form if you are not a resident of the United Kingdom or the United States of America.**

## APPLICATION PROCESS

1. Complete sections 1 – 5 of this form.
2. Read section 6 (your declaration) carefully, then sign and date the form where indicated.
3. Email a copy of the signed application alongside all supporting documentation to your authorised broker.
4. Receive a welcome email once the application has been fully processed and accepted.
5. Transfer the amount you wish to invest, using a reference number provided.
6. Receive a log in to the portal to be able to view details of the investment and access the electronic certificate bearing a QR code.

## DETAILS OF HOW TO TRANSFER THE FUNDS YOU ARE INVESTING

Upon approval of the applicant for anti-money laundering processes, we will issue a confirmation payment request that will include details of our bank account held by our regulated receiving agent. Please refer to the Investment Memorandum document for further details regarding our agents and counterparties.



## 1 APPLICANT DETAILS

Full name	DIEGO ALEJANDRO FERNANDEZ FLOREZ
Date of birth	16/ABRIL/1984
Phone number	+573015231836
Full Address (incl postcode)	# - LOTE 8 Barrio: Los Cambulos - POPAYAN CAUCA COLOMBIA CODIGO POSTAL 19001
Email Address	alefer55@gmail.com

## 2 VERIFICATION OF SOURCE OF FUNDS, IDENTITY, ADDRESS

A confirmation of the Source of Wealth will be required for all applications from a professional person, this includes but is not limited to financial advisers/solicitors/accountants.

**Please confirm the source of funds you are using to invest: e.g. savings, property sale, etc.**

Ahorros fruto de mi trabajo como ingeniero civil. - Savings from my work as a civil engineer.

**Please also provide the following:**

1. Passport - valid color copy ensuring the machine readable code is legible
2. Proof of address - residential utility bill (i.e.: gas or electricity) or bank statement in own name dated within the last 3 months
  - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
3. A recent Bank Statement for the account being used to make this investment
4. Contact email address and mobile phone number

## 3 INVESTMENT DETAILS

**Seven Stars Legal USD SERIES 1 BOND DECEMBER 2028**

**Maturity date: 31 December 2028**

Total Investment Sum (Insert amount in figures)	100000	USD
Income	Paid <input checked="" type="checkbox"/>	Reinvested <input type="checkbox"/>



#### 4 NOMINATED BANK ACCOUNT FOR PAYMENTS OF INCOME OR CAPITAL

Account name	DIEGO ALEJANDRO FERNANDEZ FLOREZ
Account number	17132049670
Sort Code	BANK NAME: BANCOLOMBIA S.A.
Bank address	BANCOLOMBIA S.A., CARRERA 52, 50-20, FLOOR 9, MEDELLIN, Colombia BRANCH: BOGOTA
Bank telephone number	6025540505
SWIFT CODE	COLOCOBMXXX
IBAN	NA

#### 5 ANTI-MONEY LAUNDERING – CLIENT CATEGORISATION

**Politically Exposed Persons (PEPS)**

Are you or a close relative a politically exposed person? YES  NO

If the answer to the above questions is YES, please provide further details

**Investor Profile**

Are you a High Net Worth Individual? YES  NO

Are you a Sophisticated Investor? YES  NO

If the answer to the above questions in YES, please complete the additional questionnaire attached

Have you received advice from a Financial Adviser for this investment? YES  NO

If the answer to the above questions in YES, please include a copy with this application



## 6 APPLICANT'S DECLARATION AND SIGNATURE

I hereby irrevocably agree to subscribe to the Seven Stars Legal USD SERIES 1 BOND DECEMBER 2028 as set out in this Application Form.

**The Applicant hereby confirms that:**

- They have received a copy of the Investment Memorandum and understand its contents.
- They have not relied on:
  1. Any document or other information supplied to me / us by the Company or the Directors; or
  2. Any representation or warranty, expressed or implied, in respect of the Offer save as contained in the Investment Memorandum.
- They are eligible to subscribe for the Bond, have read the Important Information on page 1 of the Investment Memorandum and are not excluded from investing.
- They have observed the laws of all relevant territories, obtained any requisite governmental or other consents, complied with all requisite formalities and paid any issue, transfer or other taxes due in connection with my application in any territory and that they have not taken any action which will or may result in Seven Stars Legal or the receiving agent acting in breach of the regulatory or legal requirements of any territory in connection with this offer or this application

This Application Form and the contract created by it are governed by English law.

The Applicant submits to the exclusive jurisdiction of the English courts in relation to any dispute concerning the Offer or this Application Form.

NAME	DIEGO ALEJANDRO FERNANDEZ FLOREZ	
SIGNATURE	<div style="border: 1px solid #ccc; padding: 5px;"><p>Firmado por:</p><p><i>Diego Alejandro Fernandez Flores</i></p><p>6DAFC766080A419...</p></div>	SIGN HERE
DATE	9/25/2025	



**7 PORTAL ACCESS BY INTRODUCER (IF REQUIRED)**

I hereby authorise the named party (e.g. broker etc.) below to have access to the Avenir Registrars Portal for the purpose of accessing data related to all securities I hold as recorded on the Avenir Portal. This authorisation is granted indefinitely under the terms of the Data Protection Act 2018 however, may be withdrawn at any time at my discretion, without affecting the lawfulness of any processing carried out prior to the withdrawal of my authority. Should I wish to withdraw my consent, I may do so by providing written notice to Avenir Registrars Ltd, and access to my data for the party (e.g. broker etc.) will be revoked as soon as is practicable.

Please could you arrange Portal Access for this party with immediate effect.

INTRODUCER FULL NAME	GERMAN JOVANNY BOLAÑOS MEDINA
COMPANY NAME	KNG INTERNATIONAL ADVISORS
COMPANY ADDRESS	AV. ANCACEH LT2 PISO 3-B MZ 2 SM 11 CANCUN Q ROO MEXICO. C.P 77500
EMAIL ADDRESS	jovannybm@gmail.com
MOBILE NUMBER FOR 2FA (including country code)	+57 300 4916306
SIGNATURE	<div style="display: flex; align-items: center;"> <div style="border: 1px solid #ccc; padding: 5px; margin-right: 10px;"> <p style="font-size: 8px; margin: 0;">Firmado por:</p> <p style="font-size: 18px; margin: 0;"><i>Diego Alejandro Fernandez Flores</i></p> <p style="font-size: 8px; margin: 0;">6DAFC766080A419...</p> </div> <div style="background-color: #002060; color: white; padding: 10px 20px; font-weight: bold; text-align: center;">SIGN HERE</div> </div>
DATE	9/25/2025



**8 STATEMENT FOR SELF CERTIFIED SOPHISTICATED INVESTORS (IF REQUIRED)**

If you meet condition A, B, C or D below, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005. Have you:

A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

No  Yes

If yes, what is/was the name of the business/organisation?

B) Been the director of a company with an annual turnover of at least £1 million, in the last two years?

No  Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?

CONTRUCCION Y VIAS SAS "COVIAS SAS" NIT 901616615-2

C) Made two or more investments in an unlisted company, in the last two years

No  Yes

If yes, how many investments in unlisted companies have you made in the last two years?

D) Been a member of a network or syndicate of business angels for more than six months, and are still a member?

No  Yes

If yes, what is the name of the network or syndicate?

OR

E) None of these apply to me

Yes

I declare that I have answered yes to A and/or B and/or C and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
- b) I can expect no protection from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

NAME **DIEGO ALEJADRO FERNANDEZ FLOREZ**

SIGNATURE **Firmado por: Diego Alejandro Fernandez Flores** **SIGN HERE**  
6DÁFC766080A419...

DATE **9/25/2025**



**8 STATEMENT FOR SELF CERTIFIED HIGH NET WORTH INDIVIDUALS (IF REQUIRED)**

If you meet condition A or B below, you may choose to be classified as a high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005. In the last financial year, did you have:

A) An annual income of £100,000 or more? Income does NOT include any one-off pension withdrawals.

No  Yes

If yes, please specify your income (as defined above) to the nearest £10,000 in the last financial year

£

AND/OR

B) Net assets of £250,000 or more? Net assets do NOT include: your home (primary residence), any loan secured on it or any equity released from it; your pension (or any pension withdrawals) or any rights under insurance contracts. Net assets are total assets minus any debts you owe.

No  Yes

If yes, please specify your net assets (as defined above) to the nearest £100,000 in the last financial year

£

I declare that I have answered yes to A and/or B and wish to be treated as a high net worth individual.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
- b) I can expect no protection from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

NAME

SIGNATURE

DATE



## 9 INTRODUCING BROKER'S DECLARATION

**To comply with regulation and for Anti Money Laundering Verification purposes we request that an advisor complete the below declaration. This includes but is not limited to financial advisers/solicitors/accountants/bank manager/directors**

I declare that in my capacity as an advisor, the information provided in this form has been scrutinised and analysed in compliance with Regulation 28 of the Money Laundering Regulations (2007) and to the best of my/our knowledge and belief, the information in this form accurate and complete and is representative of the individual's/company's source of wealth.

We have reviewed the brochure and term sheet and other associated documents related to this offer and deem the investment to be non-complex investment. We are processing this application on an Execution Only basis for our client and have completed an Appropriateness Test.

NAME:	GERMAN JOVANNY BOLAÑOS MEDINA
COMPANY:	KNG INTERNATIONAL ADVISORS
REGISTRATION NO:	NA
SIGNATURE:	<div style="border: 1px solid #ccc; padding: 5px;"><p>Firmado por:</p><p><i>German Jovanny Bolaños Medina</i></p><p>ADCF88C79ACC443...</p></div> <div style="background-color: #003366; color: white; padding: 10px; text-align: center; font-weight: bold; font-size: 1.2em;">SIGN HERE</div>
DATE:	9/25/2025

## Certificate Of Completion

Envelope Id: 1B517A61-C1ED-4105-A3D6-3BB853FCF2AA	Status: Completed
Subject: Complete with Docusign: Diego Alejandro Fernandez Florez - Seven Stars Legal Series 1 App USD	
Source Envelope:	
Document Pages: 9	Signatures: 5
Certificate Pages: 5	Initials: 0
AutoNav: Enabled	Envelope Originator:
Envelopeld Stamping: Enabled	Applications KNG
Time Zone: (UTC-08:00) Pacific Time (US & Canada)	Mz 2, SM 11
	Mz 2, SM 11
	Cancun, WA 77500
	applications@kngadvisors.co.uk
	IP Address: 2800:200:ece0:b

## Record Tracking

Status: Original	Holder: Applications KNG	Location: DocuSign
9/25/2025 4:41:34 PM	applications@kngadvisors.co.uk	

## Signer Events

Diego Alejandro Fernandez Florez  
alefer55@gmail.com  
Security Level: Email, Account Authentication (None)

## Signature

Firmado por:  
  
6DAFC766080A419...

Signature Adoption: Pre-selected Style  
Using IP Address: 190.84.88.40

## Timestamp

Sent: 9/25/2025 4:46:25 PM  
Viewed: 9/25/2025 6:08:12 PM  
Signed: 9/25/2025 6:15:55 PM

## Electronic Record and Signature Disclosure:

Accepted: 9/25/2025 6:08:12 PM  
ID: a626da24-6ba9-4e1b-a323-b4e885077a75

German Jovanny Bolaños Medina  
jovannybm@gmail.com  
Security Level: Email, Account Authentication (None)

Firmado por:  
  
ADCF88C79ACC443...

Signature Adoption: Pre-selected Style  
Using IP Address: 181.32.113.67

Sent: 9/25/2025 4:46:26 PM  
Viewed: 9/25/2025 8:06:37 PM  
Signed: 9/25/2025 8:07:08 PM

## Electronic Record and Signature Disclosure:

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ID: a2704a82-892e-4dfe-9bf2-c7624a5eb77f

In Person Signer Events	Signature	Timestamp
Editor Delivery Events	Status	Timestamp
Agent Delivery Events	Status	Timestamp
Intermediary Delivery Events	Status	Timestamp
Certified Delivery Events	Status	Timestamp
Carbon Copy Events	Status	Timestamp
Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	9/25/2025 4:46:26 PM

<b>Envelope Summary Events</b>	<b>Status</b>	<b>Timestamps</b>
Certified Delivered	Security Checked	9/25/2025 8:06:37 PM
Signing Complete	Security Checked	9/25/2025 8:07:08 PM
Completed	Security Checked	9/25/2025 8:07:08 PM

<b>Payment Events</b>	<b>Status</b>	<b>Timestamps</b>
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**Electronic Record and Signature Disclosure**

## **ELECTRONIC RECORD AND SIGNATURE DISCLOSURE**

From time to time, KNG International Advisors (we, us or Company) may be required by law to provide to you certain written notices or disclosures. Described below are the terms and conditions for providing to you such notices and disclosures electronically through the DocuSign system. Please read the information below carefully and thoroughly, and if you can access this information electronically to your satisfaction and agree to this Electronic Record and Signature Disclosure (ERSD), please confirm your agreement by selecting the check-box next to 'I agree to use electronic records and signatures' before clicking 'CONTINUE' within the DocuSign system.

### **Getting paper copies**

At any time, you may request from us a paper copy of any record provided or made available electronically to you by us. You will have the ability to download and print documents we send to you through the DocuSign system during and immediately after the signing session and, if you elect to create a DocuSign account, you may access the documents for a limited period of time (usually 30 days) after such documents are first sent to you. After such time, if you wish for us to send you paper copies of any such documents from our office to you, you will be charged a \$0.00 per-page fee. You may request delivery of such paper copies from us by following the procedure described below.

### **Withdrawing your consent**

If you decide to receive notices and disclosures from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices and disclosures only in paper format. How you must inform us of your decision to receive future notices and disclosure in paper format and withdraw your consent to receive notices and disclosures electronically is described below.

### **Consequences of changing your mind**

If you elect to receive required notices and disclosures only in paper format, it will slow the speed at which we can complete certain steps in transactions with you and delivering services to you because we will need first to send the required notices or disclosures to you in paper format, and then wait until we receive back from you your acknowledgment of your receipt of such paper notices or disclosures. Further, you will no longer be able to use the DocuSign system to receive required notices and consents electronically from us or to sign electronically documents from us.

### **All notices and disclosures will be sent to you electronically**

Unless you tell us otherwise in accordance with the procedures described herein, we will provide electronically to you through the DocuSign system all required notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you during the course of our relationship with you. To reduce the chance of you inadvertently not receiving any notice or disclosure, we prefer to provide all of the required notices and disclosures to you by the same method and to the same address that you have given us. Thus, you can receive all the disclosures and notices electronically or in paper format through the paper mail delivery system. If you do not agree with this process, please let us know as described below. Please also see the paragraph immediately above that describes the consequences of your electing not to receive delivery of the notices and disclosures electronically from us.

### **How to contact KNG International Advisors:**

You may contact us to let us know of your changes as to how we may contact you electronically, to request paper copies of certain information from us, and to withdraw your prior consent to receive notices and disclosures electronically as follows:

To contact us by email send messages to: [applications@kngadvisors.co.uk](mailto:applications@kngadvisors.co.uk)

### **To advise KNG International Advisors of your new email address**

To let us know of a change in your email address where we should send notices and disclosures electronically to you, you must send an email message to us at [applications@kngadvisors.co.uk](mailto:applications@kngadvisors.co.uk) and in the body of such request you must state: your previous email address, your new email address. We do not require any other information from you to change your email address.

If you created a DocuSign account, you may update it with your new email address through your account preferences.

### **To request paper copies from KNG International Advisors**

To request delivery from us of paper copies of the notices and disclosures previously provided by us to you electronically, you must send us an email to [applications@kngadvisors.co.uk](mailto:applications@kngadvisors.co.uk) and in the body of such request you must state your email address, full name, mailing address, and telephone number. We will bill you for any fees at that time, if any.

### **To withdraw your consent with KNG International Advisors**

To inform us that you no longer wish to receive future notices and disclosures in electronic format you may:

- i. decline to sign a document from within your signing session, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;
- ii. send us an email to [applications@kngadvisors.co.uk](mailto:applications@kngadvisors.co.uk) and in the body of such request you must state your email, full name, mailing address, and telephone number. We do not need any other information from you to withdraw consent.. The consequences of your withdrawing consent for online documents will be that transactions may take a longer time to process..

### **Required hardware and software**

The minimum system requirements for using the DocuSign system may change over time. The current system requirements are found here: <https://support.docusign.com/guides/signer-guide-signing-system-requirements>.

### **Acknowledging your access and consent to receive and sign documents electronically**

To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please confirm that you have read this ERSD, and (i) that you are able to print on paper or electronically save this ERSD for your future reference and access; or (ii) that you are able to email this ERSD to an email address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format as described herein, then select the check-box next to ‘I agree to use electronic records and signatures’ before clicking ‘CONTINUE’ within the DocuSign system.

By selecting the check-box next to ‘I agree to use electronic records and signatures’, you confirm that:

- You can access and read this Electronic Record and Signature Disclosure; and
- You can print on paper this Electronic Record and Signature Disclosure, or save or send this Electronic Record and Disclosure to a location where you can print it, for future reference and access; and
- Until or unless you notify KNG International Advisors as described above, you consent to receive exclusively through electronic means all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you by KNG International Advisors during the course of your relationship with KNG International Advisors.