



DOMINION
CAPITAL STRATEGIES



OUR
COMPANY

OUR MISSION

“Translating complicated financial speak, into simple products that anybody can understand”



Tim Nelson
Managing Director

ABOUT DOMINION

- Dominion Group founded in 2004
- Based in Guernsey, UK
- Fund management background.
- Investment platform, not insurance products.
- Regulated and licensed by GFSC in Guernsey and FCA in the UK.
- Segregated accounts.
- Audited by PwC.

OUR CUSTODIAN

- The biggest, the best, and by far the most reliable bank in the world.



BNY MELLON

\$2.2
TRILLION

Assets under
management

\$41.7
TRILLION

Assets under custody
and/or administration

35
COUNTRIES

Workforce of
52,000 employees

Source: BNY Mellon as of March 31, 2021



GLOBAL PRESENCE

- GLOBAL Sales & DAM (London)
- Head Office (Guernsey)

- LATAM Sales & Back Office (Uruguay)

21st CENTURY INVESTMENT PLATFORM



Our Products

- Political stability and a reliable jurisdiction
- Currency diversification
- Cost-efficiency & best in market flexibility
- Transparency



Our Funds

- Active portfolio management
- No mirror funds
- Underlying assets allocated through institutional share classes



Our Model

- Long term relationships with financial advisors
- Initial & trail-based commissions
- 100% self service paperless platform

BOARD & TOP MANAGEMENT



Tim Nelson
Managing Director

Sales Director of Scottish Mutual Int.
Sales Director of Scottish Provident Int.
Assoc. Member of the Chartered Ins. Inst.



Richard Rogers
Director

Skandia Sales Director
Eagle Star Director



James Greco
Director

Clerical Medical Investment Group
J.M. Rothschild Intl. Assurance
Scottish Amicable Intl. Life



Federico Cella
Operations Director

AIVA Co-Founder & Managing Director
Lynkos Founder & CEO
Board Member at Endeavor



Pedro Vargas
Legal Director

AIVA Old Mutual Legal Director
WPA Founder



Arjen Los
Investment Director

Built the Dominion Investment Team
Partner & CIO at Bacon Investment
Advisers
Director of Equity Research at Merrill
Lynch



Carlos Parra
Business Development Director

AIVA Co-Founder & President
Blue Cross Blue Shield UY Co-Founder
Access One Co-Founder



Pablo Paladino
Sales Director

AIVA Old Mutual Sales Director

INVESTMENT TEAM



Arjen Los
Group CIO

Built the Dominion Investment Team
Partner & CIO at Bacon Investment
Director of Equity Research at Merrill Lynch



Alessandro Hor
Investment Manager

Equity Dealer at Bacon Investment
Head of Risk Assessment at Dominion
Joined Dominion in 2005



Paolo Baccanello
Investment Manager

Fund Manager at Zest Asset Mgmt
Head of Equity Research en Merrill Lynch
Joined Dominion in 2005



Fred Baccanello
CFA – Investment Manager

Investment Manager at DGT
Equity Research at Banco Renaissance Capital
Joined Dominion in 2009



Christian Cole
Equity Analyst

Senior Research Assoc. at Sanford C. Bernstein
Research Analyst at Royal Capital Management
Joined Dominion in 2015



Edvardas Moseika
Quantitative Analyst

Research & Data Gathering Manager
Financial Research Division at ECB
Joined Dominion in 2014

FUND SELECTION PROCESS

+ 90,000 funds

Funds' AUM must exceed \$200 million

AUM +\$200M

3-5 Morningstar Stars

Funds must be rated with more than 3 stars by Morningstar

Funds must have at least 10 years of track record

Track Record

Fund Manager

The fund manager must have been in place for the last 3 years

Fund must outperform its benchmark over the last 1, 3, 5 & 10 years

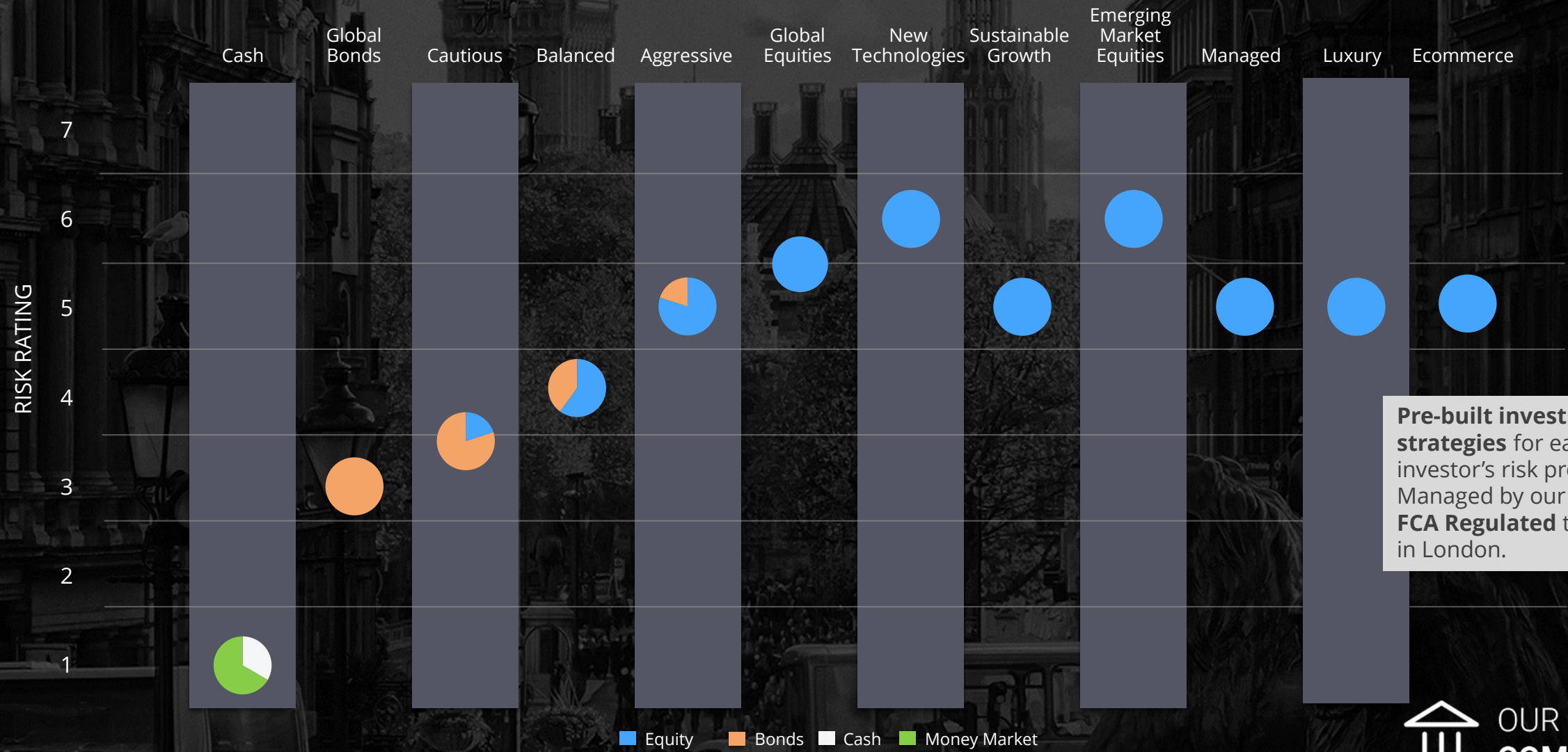
Performance

≈ 50 funds

FUND MANAGERS



ACTIVELY MANAGED PORTFOLIOS



Pre-built investment strategies for each investor's risk profile. Managed by our own **FCA Regulated** team in London.

Equity Bonds Cash Money Market

LATEST GENERATION PLATFORM

KEY FEATURES

Full account access

Daily valuations

Funds monitor

Online illustrations

Direct access to operations

Help centre & tutorials

100% online paperless transactions

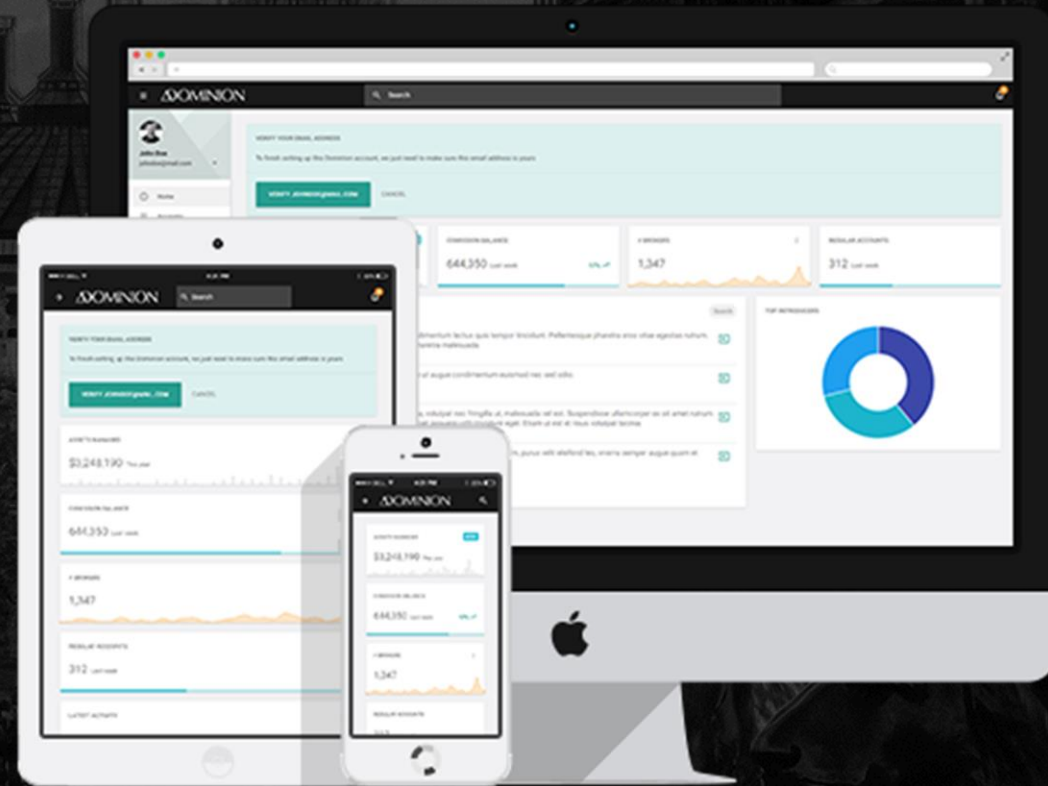
Multi-level commission management

Direct payments

Multi-language

Web & mobile

Highest security standards



THE WAY OF THE FUTURE



The logo for Dominion Capital Strategies features a stylized 'D' symbol to the left of the word 'DOMINION' in a large, bold, sans-serif font. Below 'DOMINION' is the phrase 'CAPITAL STRATEGIES' in a smaller, all-caps, sans-serif font. The background of the slide is a dark, grayscale image of a city street with historic buildings and a clock tower.

DOMINION CAPITAL STRATEGIES

© 2021 Dominion Capital Strategies Limited. All rights reserved.

The information contained in this presentation (“the Content”) is provided for information purposes only and is published by Dominion Capital Strategies Limited. The Content of this presentation is not an invitation to make an investment nor does it constitute an offer for sale.

It should be remembered that the price of the Shares and the income (if any) from them may go down as well as up and that, on the redemption of their Shares, investors may not receive the amount that they originally invested. The investment returns on a Fund’s portfolio and the returns from an investment in Shares, Bonds or other assets in the future may differ materially from historical returns and will depend, among other things, on the composition of that Fund’s portfolio. Past performance is not a reliable indicator of future performance.

Dominion Capital Strategies Funds PCC Limited, regulated by the Guernsey Financial Services Commission. Dominion Global Trends SICAV PLC, regulated by the Malta Financial Services Authority and recognised by MAS (Singapore). Dominion Global Trends SICAV PLC is a Maltese UCITS and certain classes are registered with the FCA in the UK. Dominion Global Trends SICAV PLC is authorised by FINMA to distribute to Qualified Investors in Switzerland. Dominion Global Trends – Luxury Consumer Fund, Dominion Global Trends – Managed Fund and the Dominion Global Trends – Ecommerce Fund Euro B Share classes, Euro I Share Classes and the Euro C Share classes (where available) have been accepted by the FIN-FSA for marketing in Finland.

Dominion Asset Management Ltd. is a member of the Dominion Group of Companies. Registered Office: 20 Little Britain, London, EC1A 7DH. Registered in England. Company no. 07582053. Authorised and Regulated by the Financial Conduct Authority in the UK.

Dominion Capital Strategies Limited, Dominion Fund Management Limited and Dominion Asset Management Limited are not responsible for any unauthorised use, access or alteration to this presentation or any loss or damage suffered by users of this presentation which arises directly or indirectly out of such unauthorised use, access or alteration.

Dominion Capital Strategies Limited (“DCSL”) is incorporated in Guernsey under Company Registration No. 63978 and is regulated & licensed by the Guernsey Financial Services Commission under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 as amended. DCSL recommends that you read the latest documentation available and seek professional investment advice if considering making an investment. Dominion Capital Strategies Limited. First Floor, Mill Court. La Charroterie. St Peter Port, Guernsey - GY1 1EJ | Tel. +44 1481 734 343

www.dominion-cs.com