



## DEALING INSTRUCTION FORM

Complete the form clearly and with all relevant details and email to [dealing@theinvestmentplatform.co.uk](mailto:dealing@theinvestmentplatform.co.uk)

Investment Platform Account Holders Name(s):	Financial Adviser's Name and Company:
Investment Platform Client Account Reference: SAT	Contact Telephone Number:
Date Submitted:	E-mail address:

Deal No:	Order type (tick ONE only)		Currency	Amount		Security Identifier  ISIN Reference	Stock Exchange  (Complete for equities)	Strike Date  (Only for Structured Notes)	Full Name of Asset including Share Class  (Where appropriate include share class)	Currency of Asset
	Buy	Sell		Cash Value	No. of units/shares					
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										

Full details of the asset including fund fact sheets and contact details must be provided to prior to any deal instruction being accepted. If these details are not provided, the instruction may be rejected or delays may occur. The Investment Platform reserves the right to refuse to invest in any particular asset.

I/We The Investment Platform Accountholder(s), have had the opportunity to read any relevant offering documents for any fund(s) relating to any dealing instructions, where I/we wish to do so, and as such, have received, or had sight of information concerning, and accept the levels of risk associated with this type of investment, including the risk that this investment could result in a loss of a significant proportion, or all of the sum invested;

I/We The Investment Platform Accountholder(s), where necessary, meet the minimum criteria of a class of investor in a fund(s) of this nature; and

I/We The Investment Platform Accountholder(s) are aware of the fees payable within this investment (if relevant) and that these fees exist partly to meet promotion and distribution expenses of the fund(s), including commission paid to my/our appointed Financial Adviser.

I/We The Investment Platform Accountholder(s) acknowledge I/We have read the above and understood and agree to the content of this form and the Dealing Guidelines.

Additional Comments:

*All instructions should be signed either by the account holder(s) or the appointed Authorised Person/Trustee.*

Signature of Account Holder /Authorised Signatory /Trustee 1 (circle one)

Signature of Account Holder /Authorised Signatory /Trustee 2 (circle one)

Date:

Date:

Investment Platform Internal Use Only:					
Authorised Signatory 1			Authorised Signatory 2		
Date:	Name:	Signature:	Date:	Name:	Signature: