

UNIT LINKED - PARTIAL SURRENDER REQUEST

Please complete this form using BLOCK CAPITALS.
All sections are mandatory unless indicated otherwise.

A PLANHOLDER DETAILS

Plan Number(s):

First Planholder:

Telephone contact details:

Second Planholder (if any):

Telephone contact details:

	First Planholder:	Second Planholder:
Permanent Residential address:	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
If UK / Switzerland please indicate date of return to UK / Switzerland:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email address:	<input type="text"/>	<input type="text"/>
Occupation:	<input type="text"/>	<input type="text"/>
Employer:	<input type="text"/>	<input type="text"/>
Tax Residence:		
Jurisdiction 1:	<input type="text"/>	<input type="text"/>
TIN 1:	<input type="text"/>	<input type="text"/>
Jurisdiction 2:	<input type="text"/>	<input type="text"/>
TIN 2:	<input type="text"/>	<input type="text"/>
Jurisdiction 3:	<input type="text"/>	<input type="text"/>
TIN 3:	<input type="text"/>	<input type="text"/>
Do you hold dual nationality?:	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, please list the Countries:	<input type="text"/>	<input type="text"/>

Please note: If the surrender is in respect of a legal entity, a separate Entity International Tax Compliance form is available.

A WEALTH *of* DIFFERENCE

Utmost Wealth Solutions is the trading name used by Utmost Worldwide Limited and a number of Utmost companies.

Utmost Worldwide Limited is incorporated in Guernsey under Company Registration No. 27151 and regulated in Guernsey as a Licensed Insurer by the Guernsey Financial Services Commission under the Insurance Business (Bailiwick of Guernsey) Law, 2002 (as amended).

Registered Head Office: Utmost Worldwide Limited, Utmost House, Hirzel Street, St Peter Port, Guernsey, Channel Islands GY1 4PA.

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Websites may make reference to products that are not authorised or regulated and/or are not available for offering to planholders in certain jurisdictions.

UWWS PART SURR REQ (INT) 11'20

B REASON FOR YOUR SURRENDER

Please indicate the reason for your surrender request:

Property Purchase:	<input type="checkbox"/>	Alternative Investment:	<input type="checkbox"/>
School / University Fees:	<input type="checkbox"/>	Plan Performance:	<input type="checkbox"/>
Personal Expenditure:	<input type="checkbox"/>	Other - Please state:	<input style="width: 100%; height: 20px;" type="text"/>
			<input style="width: 100%; height: 20px;" type="text"/>
			<input style="width: 100%; height: 20px;" type="text"/>

C SURRENDER VALUE DISCLAIMER

Whilst this instruction is for a partial surrender, it is important that you understand the current full surrender value of your plan. Please sign below to indicate that you have reviewed a current valuation statement, you understand that the current indicative surrender value of your Plan is _____ * and that the final amount payable will be different (due to the need to sell units in your plan investments at the next available Bid Price when carrying out your instruction).

*Please complete currency and amount

	First Planholder:	Second Planholder:
SIGNATURE	<input style="width: 100%; height: 40px;" type="text"/>	<input style="width: 100%; height: 40px;" type="text"/>
Date	<input style="width: 100%; height: 20px;" type="text" value="d d m m y y y y"/>	<input style="width: 100%; height: 20px;" type="text" value="d d m m y y y y"/>

D SURRENDER DETAILS

Utmost Worldwide Limited can make payment in a currency other than the Plan Currency if you request us to do so. Any applicable cost of payment (including currency exchange charges) will be deducted from the amount payable.

The foreign currency exchange risk associated with Utmost Worldwide Limited facilitating such a request will be borne by you.

Please tick the payment currency required, if left unticked the payment will be made in your Plan Currency.

<input type="checkbox"/> USD	<input type="checkbox"/> GBP	<input type="checkbox"/> EUR	
<input type="checkbox"/> HKD	<input type="checkbox"/> JPY	<input type="checkbox"/> SGD	<input type="checkbox"/> AUD (FOCUS only)

E PAYMENT DETAILS

Utmost Worldwide Limited will not authorise third-party payments, and will only make payment to a bank account in the name of the Planholder(s).

Payments will be made by electronic transfer, the cost of which will be deducted from the amount payable. If there are any special instructions, please provide details on a separate sheet signed by all Planholders and attach to this form.

We strongly recommend that you obtain FULL payment routing details from your Bank when completing this section.

Please tick if additional sheet attached.

Payment to be made to the following bank account:

Bank Name:

Branch Name / Number:

Bank Address:

Account Holder Name:

Account No.:

IBAN CLABE:

Sort Code Swift Code BIC ABA:

Transit and Institution No BSB Code:

Correspondent bank details (if known):

Bank Name:

Bank Address:

Account No.:

IBAN CLABE:

Sort Code Swift Code BIC ABA:

F REQUIRED ADDITIONAL DOCUMENTATION

The below documents will be required in order to release your payments.
Please tick the boxes as your confirmation of completion and that you have provided the relevant documents.

This 'Partial Surrender Request', signed by all Planholders

Certified identification and proof of address documents for all Planholders

Evidence of the Bank Account the payment is being made to

I have signed and completed Section C of this surrender form to confirm that I understand my plan surrender value

G AUTHORISATION

I wish to partially surrender my Plan(s) and I understand:

- › that (where applicable) if the Planholder is not an individual but a trustee or a corporation, its authorised signatories should sign in accordance with its authorised signatory list.
- › (FOCUS product) that partial surrender during my commitment period will cause forfeiture of some or all of my commitment reward payable at the end of the commitment period.
- › that while partial surrender is free of associated charges and penalties it will not reduce the level of early discontinuance charge payable on future full surrender (where applicable to my Plan) nor will it reduce the level of any fees and charges payable under my Plan.
- › the implications of partially surrendering my Plan and have sought appropriate advice from my financial adviser including re-assessment of my investment goals for the Plan. In particular I understand that removing value from my Plan significantly impacts the investment growth possibility and thus the ultimate value I might expect to achieve from my Plan.



IMPORTANT - PLEASE NOTE

- › **This form** must be signed by all Planholders.
- › **If your Plan has been assigned or placed in trust** since the Plan Commencement Date this form **must be** signed by the assignees or trustees and **payment will be made** to the assignee or trustees as appropriate.
- › **Utmost Worldwide reserves the right to seek additional information/ documentation:**
 - › **If the payment bank account details differ** from the most recent Source of Funds Questionnaire completed;
 - › **Where the Planholder's signature** has changed or the signature on the surrender request form does not match that held on file; and/or
 - › **Where required, in order to meet regulatory requirements.**
- › **Certified copies:** Any copy documents must be certified by a qualified professional who has seen the original at the time of certification. We will also accept copies certified by the Office Manager or Sales Manager in your local Utmost Worldwide Limited office, provided the original was seen at the time of certification.
- › **We will not be in a position** to complete this surrender request until Utmost Worldwide is satisfied with and has verified your instruction and any required additional security checks are completed to our satisfaction. Please note that in times of extreme volatility there may be delays in processing your surrender request due to the volume of instructions that we receive. Utmost Worldwide are not liable for market conditions which result in lower surrender proceeds being obtained between the date of processing of your instruction and the date of sale of the relevant units.
- › **A payment from your Plan** will be made from our bank within seven business days of receipt of full proceeds of sale of the relevant units in the underlying funds corresponding to your plan investments, provided that the relevant claim has been approved or any requirement applicable to the termination of the Plan has been **met in accordance with our legal and operational requirements**. Please note that the dealing frequency of underlying funds, any trading restriction applied by the relevant underlying fund or a delay in receiving settlement proceeds from the relevant underlying fund e.g. extreme stock market conditions can cause delays in settlement of a claim or a payment which are beyond our control.
- › **There may be tax consequences** on the partial surrender of your Plan. We recommend that you seek professional tax advice before proceeding.
- › **The cancellation, reduction or surrender** of your Plan for the purpose of subscribing to another similar contract may be disadvantageous to you. In particular, please make sure that you are aware of the financial consequences of replacing your Plan (for example the replacement plan may involve new, higher or additional charges compared to this Plan) which can be explained to you by your financial adviser.
- * **In relation to the term "plan investments"** used in this document, in certain territories the terms "ILP sub-fund", "investment option" or "fund" are used. All have the same meaning. Likewise holdings in such "plan investment" are called **"plan units"** in this document. Again, in certain territories the terms "sub-fund units", "notional units" or "units" are used for such holdings. Again all have the same meaning.

Local Rules, Laws and Regulations

All transactions related to your Plan are subject to any rules, laws and regulations applicable to Utmost Worldwide in your jurisdiction of tax residence; inclusive of tax deductions and reporting.

G AUTHORISATION (CONTINUED)

› I confirm that I have read and understood the Data Privacy Notice that is available on the Utmost Worldwide Web Site - utmostworldwide.com and that I consent to any personal or financial information relating to me held by Utmost Worldwide at any time (including information that may be considered confidential or that may constitute personal data for purposes of data protection legislation) ("Personal Data") being disclosed and transferred to other Companies in our corporate group and, where Personal Data is collected by a branch of Utmost Worldwide established outside Guernsey, to other Companies within our corporate group.

	First Planholder:	Second Planholder:
SIGNATURE	<input type="text"/>	<input type="text"/>
Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>