

Introduction Trade-ex Platform



Create
Tomorrow
Start Today

Content

- **Who we are**
- **What is an Investment Platform?**
Trade-ex Platform
- **What makes us different?**
- **What is the Isle of Man Integration**
Third Party Investor Protection
Fees
- **Contact**



Who we are

Capital International Group was founded in the Isle of Man
More than 25 years, initially as a stockbroker.

Since then, the Group has grown to offer a full suite of digital financial services, including an open-architecture investment platform, discretionary investment management services, as well as our corporate banking digital platform, which was launched in 2021.

With four offices in the Isle of Man and South Africa, our dedicated team serves an international clientele of institutions, intermediaries and individuals.



27 years

In Business

\$5 billion

in AAU

10k+

clients

100+

Countries

200+

staff

What is an Investment Platform?

An investment platform account is a comprehensive solution that allows you to keep virtually all of your investments, tax wraps, or offshore bonds, under one roof.

Execution only: We act only on instructions and do not provide advice, so you are in control of your investments.

Open architecture: The platform gives you access to an almost unlimited number of funds, stocks, and investment structures, so you have as wide a variety of options as possible to help you achieve your individual financial goals.

24/7 online functionality - access through our secure portal to view and manage your portfolios at any time.

Multi-currency: Hold and trade in currencies around the world.

Third-party access: Leverage existing relationships with third parties, such as discretionary fund managers or model portfolio providers.



Trade-ex Platform

Your Complete Toolkit for Managing Customer Assets

Our investment platform has been designed to meet the needs of advisors and their clients.



Linked deals

Buy and sell in one go, reduce admin time and speed up trades.



Efficient onboarding

Send documents electronically to speed up the account opening process.



Asset services department

Avoid unnecessary costs with in specie asset transfers.



Transactional data

Access your contract notes for an in-depth report of each trade.



Daily asset pricing

Log-in to view an up-to-date snapshot of your position.



Current and historical data

View performance data from today or any point in your investment's life span.



A range of export options

Download our data in a range of file formats including CSV and Excel.



Market orders

Execute trades immediately to ensure the best available price.



GDPR & POPI compliant

We meet regulations to ensure your data privacy is protected.



Portfolio valuations

Stay up to date with online access to performance data.



Flexible tax reporting

Get reports when you need them to ensure you meet your tax deadlines.



Limit orders

Trade at your desired price without monitoring the markets.

Online functionality

- Online Trading & FX
- Linked trading instructions
- Updated ratings
- daily
- Historical valuations
- Complete trading history
- Current and historical status of
- Operations
- Contractual Notes
- Detailed quarterly valuations uploaded automatically
- Customer Welcome Letters

Displaying Valuation and Transactions for DEMACC01 Demo Account 1 for 16/04/2018 at 04:30

DEMACC01 - Demo Account 1 Date selection: 16/04/2018

Valuations Transactions Print Export

Holding Description	Asset	Quantity	Asset Ccy	Market Price	Price Date	Market Value	F/X Rate	Port Value	Portfolio Ccy	Book Cost	Profit/Loss
CASH ACCOUNTS											
<i>Bank Accounts</i>											
US Dollar Redemption Credit Account		2,798.27	USD	-	-	2,798.27	1.0000	2,798.27	USD	2,798.27	0.00
US Dollars Trading Account		298.28	USD	-	-	298.28	1.0000	298.28	USD	298.28	0.00
								Subtotal:		3,096.55	3,096.55

Standard Deal Instruction

Use this form to input one or more deal instructions for a single portfolio.

DEMACC01 - Demo Account 1 Display

Standard Deal Instruction for Portfolio : DEMACC01.

MINI-VALUATION			HIDE	DEAL BASKET			HIDE
IDENTIFIER	ASSET	QUANTITY		PORTFOLIO	ACTION	SETTLE	
USD	US Dollars Trading Account	298.28		You have no instructions in your deal basket.			
BQXX3F3	Guinness Global Innovators Fund C USD Acc	1,109.95					
BKM40H6	Marlborough European Multi-Cap Cell F	2,959.385					
BYYGW83	Marlborough Global Bond Fund F	9,166.702					
2640147	Nike Inc	124					
B10QTP2	VAM US Large Cap Growth B	304.560					
BK019T4	Vodafone Group Spon ADR Rep 10 Ord Shares	159.0000					

BUY/SELL	ASSET NAME	SEDOL	CCY	ENTIRE HOLDING	QTY/VAL	AMOUNT	SETTLE INTO/FROM CCY	REMOVE
Select ▾				<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="⊖"/>
Select ▾				<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="⊖"/>
Select ▾				<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="⊖"/>
Select ▾				<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="⊖"/>
Select ▾				<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="⊖"/>

Add Instruction Row Click Here To Save Instruction ▶

What makes us different?



Capital International Limited is a member of the London Stock Exchange and has access to major exchanges around the world. Added ability to set limit orders and real-time prices in stock trading.



We are truly open architecture. Access an almost unlimited number of funds, stocks, and investment structures.



Hold and trade in over 25 available currencies, providing the flexibility to meet changing customer needs and situations.



The collectives are They trade directly through the fund manager. This eliminates additional fees for third-party transactions, which are often hidden.



Capital International Limited is independently owned, and pragmatic decision-making is done in the Isle of Man.



Wide range of fee structures. They are set separately for each customer, allowing flexible pricing adapted to each situation.

Why Isles of Man?

Robust regulatory framework

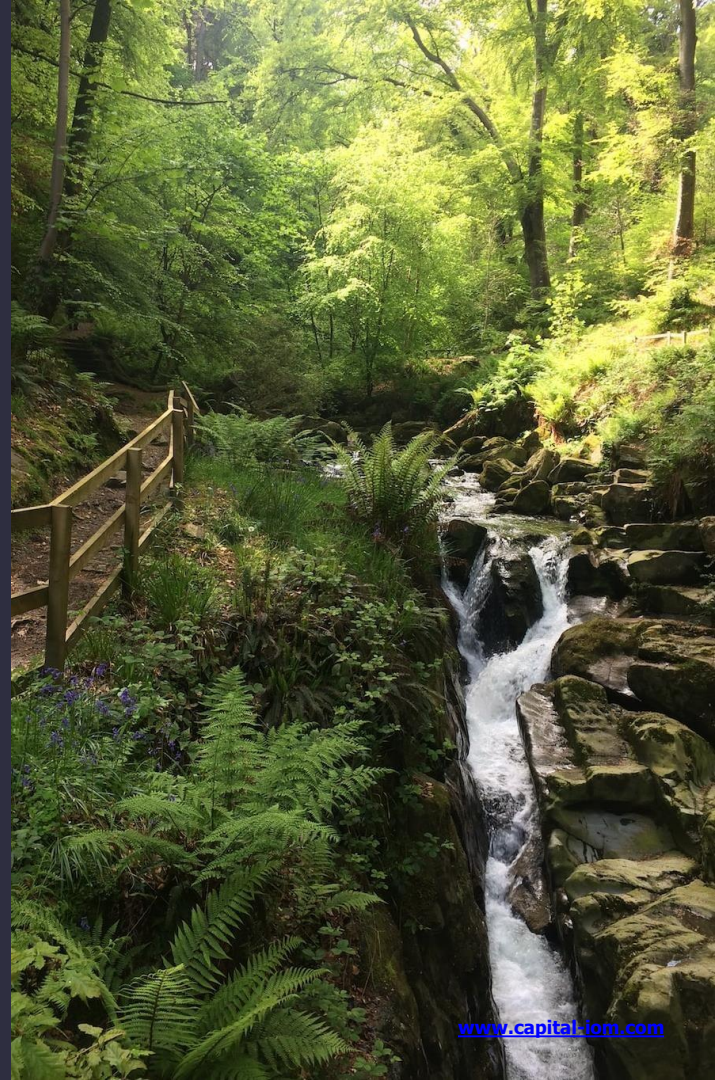
The Isle of Man Financial Services Authority is responsible for the regulation and supervision of financial service providers at local level, and by whom we are regulated.

Awarded

In 2021, the Isle of Man won the Best International Financial Centre award at the International Investment Awards. This is the eighth time the island has won the award in the past 10 years.

Global Reputation

The Isle of Man is a self-governing dependency of the British Crown located in the Irish Sea. It is globally known as a strong financial services jurisdiction with strict investor protection laws.



Third-Party Integration



Discretionary fund managers

A discretionary fund manager provides a tailor-made service. It performs a client's risk profile, and then creates and manages an investment portfolio on their behalf.



Tax havens and offshore bonds

Keep your wraparound structures on the platform, allowing you to access a wide range of online trading assets and features*. We already have active relationships with major international trust fund providers.

*Subject to approval by the Board of Directors



Model Portfolio Providers

Access a range of pre-established discretionary management models. Model portfolios will have predetermined risk ratings, so that clients can access a diverse investment model with the right rating, managed by renowned investment managers.



Investor Protection

All client money and assets are held in trust in segregated accounts or in our legally independent firm, Capital International (Nominees) Limited (CINL). Our intermediary company is not authorized to do anything other than simply hold cash and assets for clients.

The client retains 100% of the effective ownership at all times.

What would happen if Capital International Limited went bankrupt?

Since client assets are kept segregated and protected from CIL, in the unlikely event that CIL were to go bankrupt, client assets would remain protected within CINL and could not be touched by the companies' creditors, the Group or a liquidator.

As well as being regulated by the Isle of Man Financial Services Authority, we also carry out ongoing internal audit reviews as well as external audit reviews of our controls by KPMG.

Account Opening

- Eligible for individuals, trusts, corporations, pensions, and foundations.
- Minimum investment: \$50,000.
- Standard risk accounts only require identity and residential address verification.
- High-risk accounts require evidence of wealth to back up the wealth (example: payroll).
- Accounts opened in 7 - 10 business days.
- Source of funds – How was the investment amount generated and how it will be sent to us.
- Source of Wealth: An overview of a customer's total wealth.



Redemption Credit Account

The Redemption Credit Account (RCA) allows the initial advisor fees to be amortized in approx. 5 years.

When cash or assets are transferred to an account, an initial fee calculated as a percentage of the value of the deposit will be incurred.

See the example below.

Investment amount: \$100,000

Tariff Code: CIG5

Deposit received for \$100,000.

A \$6,500 set-up fee is triggered.

Client trading account: £93,500 can be used for trades, withdrawals, and ongoing fees.

RCA: £6,500 cannot be used for the above. The RCA jackpot is reduced monthly by % of the annualized redemption fee until it reaches £0.

Total customer rating: \$100,000

Valuations



Portfolios

Valuations Transactions

for 04/06/2024 at 00:00

Start typing to search and then select a portfolio code.

04/06/2024

Print

Export

Holding Description	Asset	Settled	Unsettled	Asset Ccy	Market Price	Price Date	Market Value	FX Rate	Port Value	Book Cost	Profit/Loss	
Cash Accounts												
<i>Bank Accounts</i>												
Sterling Redemption Credit Account		1,197.33	-	GBP	-	-	1,197.33	1.0000	1,197.33	1,197.33	-	
Sterling Trading Account		2,484.60	-	GBP	-	-	2,484.60	1.0000	2,484.60	2,484.60	-	
									Subtotal:	3,681.93	3,681.93	
Securities												
<i>Fixed Interest</i>												
<i>Structured Product</i>												
BBVA 6Y Phoenix Memory on 3 Index GBP	9996951	6,000	0	GBP	1.0000	31/05/24	6,000.00	1.0000	6,000.00	6,050.00	-50.00	
Morgan Stanley 5Y Autocall on 3 Index GBP	9996758	27,000	0	GBP	1.0000	31/05/24	27,000.00	1.0000	27,000.00	27,082.50	-82.50	
									Subtotal:	33,000.00	33,132.50	-132.50
									Portfolio Total:	36,681.93	36,814.43	-132.50

Home

Portfolios

Reports

Documents

Help

What's New?

My Account

Transactions



Portfolios

Valuations Transactions

Home

Portfolios

Reports

Documents

Help

What's New?

My Account

for 04/06/2024 at 00:00

Start typing to search and then select a portfolio code.

04/06/2024

Print

Export

Trade Date	Entry Date	Asset	Asset name	Description	Currency	Amount	Balance
View transactions for asset: All transactions Apply							
▼ Show Filters							
03/07/2023	11/07/2023	BMYZYP8	Morgan Stanley 6Y Autocall on 3 Index GBP	Early Redemption - Morgan Stanley	GBP	-23,000	0
24/06/2022	06/07/2022	BMYZYP8	Morgan Stanley 6Y Autocall on 3 Index GBP	Bought 23000 Morgan Stanley 6Y Autocall on 3 Index GBP	GBP	23,000	23,000
						Opening Balance:	0
26/05/2023	05/06/2023	9996951	BBVA 6Y Phoenix Memory on 3 Index GBP	Bought 6000 BBVA 6Y Phoenix Memory on 3 Index GBP	GBP	6,000	6,000
						Opening Balance:	0
15/09/2023	25/09/2023	9996758	Morgan Stanley 5Y Autocall on 3 Index GBP	Bought 27000 Morgan Stanley 5Y Autocall on 3 Index GBP	GBP	27,000	27,000
						Opening Balance:	0
01/06/2024	03/06/2024		Sterling Trading Account	Custody Fee	GBP	-16.99	2,484.60
31/05/2024	03/06/2024		Sterling Trading Account	Gross Interest calculated between 30/04/2024 and 30/05/2024	GBP	0.00	2,501.59
01/05/2024	01/05/2024		Sterling Trading Account	Custody Fee	GBP	-16.44	2,501.59
30/04/2024	01/05/2024		Sterling Trading Account	Gross Interest calculated between 31/03/2024 and 29/04/2024	GBP	0.00	2,518.03
02/04/2024	07/04/2024		Sterling Trading Account	Int. for 27000 - Morgan Stanley 5Y Autocall on 3 Index GBP	GBP	1,350.00	2,518.03
01/04/2024	02/04/2024		Sterling Trading Account	Custody Fee	GBP	-16.99	1,168.03
31/03/2024	02/04/2024		Sterling Trading Account	Gross Interest calculated between 29/02/2024 and 30/03/2024	GBP	0.00	1,185.02
04/03/2024	05/03/2024		Sterling Trading Account	Int. for 6000 - RRVA 6Y Phoenix Memory on 3 Index GBP	GBP	116.40	1,185.02

Development

- Patented platform technology
- New Rating Page
- New, Clearer Trading Screens
- Enhanced and detailed reporting
- Intraday valuations
- Online Limit Orders

The screenshot displays the Capital International Group trading platform interface for account IMS1234, managed by Nicholas Kohne. The interface includes a dark sidebar with navigation options: Home, Portfolios, Reports, Dealing, Documents, Help, What's New, and a user profile for Alex Long. The main content area shows account details, a summary of key metrics, and a detailed list of positions.

Account Information: IMS1234: Nicholas Kohne (Date: 25/04/2024)

Summary Metrics:

- Total Portfolio Value: 3,049,979.01 USD
- Total Cash: 109,950.63 USD
- % in Cash: 3.62%
- Unrealised Profit/Loss: +685,026.07 USD

Positions Table:

Asset	Quantity	Price	% of Portfolio	Portfolio Value	P/L
Equities - 24					
Admiral Group plc LON:ADM	200.00	2,741.00 GBP 2024-04-25	14.43%	438,094.03 USD	+38,094.03 USD
Alphabet Inc Class C NASDAQ:GOOG	1,578.00	159.09 USD 2024-04-25	8.27%	251,044.02 USD	+1,044.02 USD
NVIDIA Corp NASDAQ:NVDA	657.00	839.50 USD 2024-04-25	18.17%	551,551.50 USD	+301,551.50 USD
Amazon.com Inc NASDAQ:AMZN	303.00	179.94 USD 2024-04-25	1.80%	54,521.82 USD	+29,521.82 USD
Apple Inc NASDAQ:AAPL	247.00	166.54 USD 2024-04-25	1.36%	41,135.38 USD	+31,135.38 USD
ASML Holding NV NASDAQ:ASML	57.00	913.05 USD 2024-04-25	1.71%	52,043.85 USD	-7,956.15 USD
Boston Scientific Corporation NYSE:BSX	1,221.00	73.14 USD 2024-04-25	2.94%	89,303.94 USD	-30,696.06 USD
Citigroup Inc NYSE:C	839.00	62.13 USD 2024-04-25	1.72%	52,127.07 USD	+42,127.07 USD
Constellation Software Inc. TSE:CSU	20.00	3,720.85 CAD 2024-04-25	3.35%	101,792.04 USD	-198,207.96 USD
Fortinet Inc NASDAQ:FTNT	483.00	64.89 USD 2024-04-25	1.03%	31,341.87 USD	-68,658.13 USD
Ginkgo Bioworks Holdings Inc	1,304.00	0.89 USD	0.12%	3,821.66 USD	-46,179.34 USD

Fee	Annual Custody Cost	Minimum Annual Custody Cost	Cost of Establishment to CIG	Annualized Redemption Fee	Redemption Period (years)	Allocation to the Sub Trading Account	Annual Assessor's Fee
KNG0	0.26%	£ 220	0.50%	0.1%	4	99.5%	1.50%
CIG0	0.26%	£ 220	0.50%	0.125%	4	99.5%	1.50%
CIG1	0.26%	£ 220	1.50%	0.45%	5	98.5%	1.50%
CIG2	0.26%	£ 220	3.00%	0.55%	5	97%	1.50%
CIG3	0.26%	£ 220	4.50%	0.70%	5	95.5%	1.50%
CIG4	0.26%	£ 220	5.50%	0.85%	5	94.5%	1.00%
CIG5	0.26%	£ 220	6.50%	1.10%	5	93.5%	1.00%

Establishment collection

- The arrangement fee is separated from the repayment credit in the portfolio account and is amortized over a period of approximately 4 years. This credit cannot be used to make trades or withdrawals and will be reduced monthly until its value is zero.
- Applies to cash deposits and asset transfers
- Deducted monthly

Structure of Trade Ex commissions

Annual custody and management fees

- 0.26% per annum (Min amount of £220 per annum)
- These two fees are charged on a pro rata basis on a monthly basis and are based on the value of the portfolio at the end of each period

Trading Commission

- Collective trading fee: £35
- Stocks/Bonds/Notes/Alternative Investment Funds: 0.25%

Functions of the Two Cash Sub Accounts (CASH)

Redemption Credit Account	Cash Trading Sub-Account
<p>It automatically receives the establishment cost in full from the moment the account is funded and is amortized over a period of approximately 5 years according to the chosen establishment collection structure.</p>	<p>Receive deposits and also: interest coupons, dividends, Selling Stocks or Funds Customers can make additional deposits whenever they want. You just have to send the advisor the SWIFT and the advisor fills out the Top Up format</p>
<p>KNG0: 0.1% per year X 4 years (total is 0.5%) CIG1: 0.45% per year X 5 years (total is 2.5%) CIG2: 0.55% per year X 5 years (total is 3.5%) CIG3: 0.7% per year X 5 years (total is 4.5%) CIG4: 0.85% per year X 5 years (total is 5.5%) CIG5: 1.1% per year X 5 years (total is 6.5%)</p>	<p>Make withdrawals – to the account registered in the application in the name of the same holder (never to third parties).</p> <p>The customer sends an email to: customerservices@capital-iom.com</p>
	<p>Pay costs: Purchase Cost (0.25%) Annual Management Fee (From 0% to 1.5% max.) Annual Custodian Cost (0.26% with a min of 220 GBP)</p>

EXAMPLE OF THE CHARGING STRUCTURE: KNGO

\$100,000

**CASH account is divided
into 2 sub-accounts**

0.5% = (\$500)

They Go to the Redemption Account

Credit Redemption Account



This amount is reduced by 0.1% each year as a cost of establishment until it runs out (5 years approx).

The higher the value of the investment, the faster the balance of the redemption account and vice versa is exhausted



99.5% = (\$99,500)

Go to the CASH Trading Account

To invest in the instruments



Sin embargo, hay que dejar suficiente CASH para cubrir:

- 1.- Costo del custodio 0.26% anual (con un min de 220 GBP)
- 2.- Costo de compras: 0.25% del valor (min 35 GBP)
- 3.- Fee de Gestión del Portafolio (hasta 1.5% anual Opcional)*

* Para KNG0-CIG3 se puede cobrar hasta el 1.5%. Para CIG4 y CIG5 es hasta el 1%

EXAMPLE OF THE PAYOUT STRUCTURE: CIG5

\$100,000

**CASH account is divided
into 2 sub-accounts**

6.5% = (\$6,500)

They Go to the Redemption Account

Credit Redemption Account

93.5% = (\$93,500)

Go to the CASH Trading Account

To invest in the instruments

This amount is reduced by 1.1% each year as a cost of establishment until it runs out (5 years approx.).

The higher the value of the investment, the faster the balance of the redemption account and vice versa is exhausted

However, you need to leave enough CASH to cover:

- 1.- Custodian cost 0.26% per annum (with a minimum of 220 GBP)
- 2.- Cost of purchases: 0.25% of the value (min 35 GBP)
- 3.- Portfolio Management Fee (up to 1% per annum Optional)*

* For KNG0-CIG3 up to 1.5% can be charged. For CIG4 and CIG5 it is up to 1%

- For sales and distribution information, please contact your authorized dealer:

KNG International Advisors
Master Broker for LATAM

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- Tel: +52 998 500 1627
- Tel: +44 20 7183 3787

Contact



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Full company details can be viewed [here](#).

Updated: 23/11/2022

Ref: PlatPitchv1