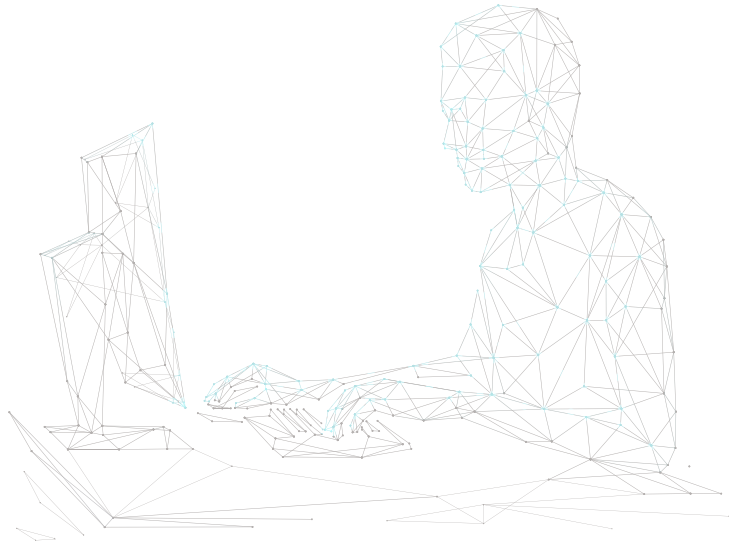


FOR FINANCIAL ADVISOR USE ONLY



Award Winning Technology

Using Hansard Online and DocuSign



HANSARD
WORLDWIDE

INTERNATIONAL
ADVISER
GLOBAL FINANCIAL
SERVICES AWARDS '19

WINNER

DIGITAL PROPOSITION

LAST
WORD

CLIENT/ADVISER MEETING



one

Sales meeting carried out using visual technology

IFA uses HOL for literature, product profile, client brochure, KID, prospectus etc

two



three

IFA uses visual technology to view clients ID and VOA documents, capturing both the client & the documentation in a screen shot

Client sends images to IFA via recognised e-mail address

four



five

IFA sends key literature to client following meeting

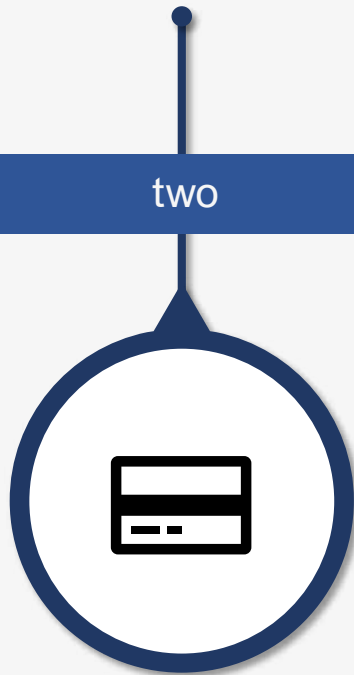
ONLINE NEW BUSINESS 1/2



IFA Keys Credit Card details into Hansard OnLine

one

IFA Keys application into OLNb and completes step by step process



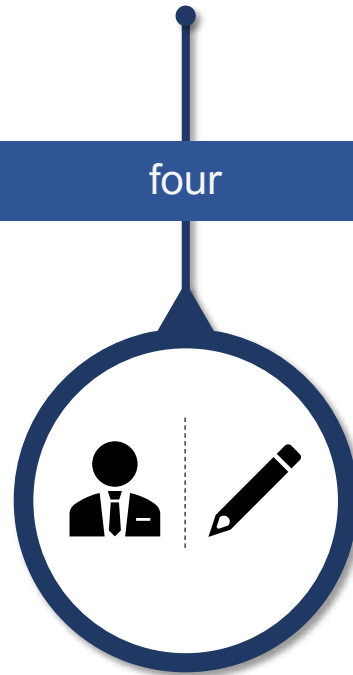
two



DocuSign

three

IFA logs into DocuSign and prepares signature card for client



four

IFA send Signature card to client for electronic signature



five

Client signs and returns to IFA via recognised e-mail address

ONLINE NEW BUSINESS 2/2



one

IFA completes and submits OLN application via HOL

IFA takes signed signature card and supporting audit documents from DocuSign and Uploads them via HOL

two



three

IFA Uploads other supporting documents via HOL such as ID and VOA, including certification of the client ID screen shot*

Hansard, receive, process, Issue and pay commission twice a week

four



HIGH RISK FACTORS

As part of standard process, and part of our client and transactional risk assessment we may, at times, identify high risk factors. In such a scenario, we reserve the right to reject an electronic signature or request additional information, and ultimately the right to contact the client for verification. For payments out, electronic signatures will only be accepted as default where the account is in the client's name and we have either made payment to the same account previously, or they are in a schedule C country.

Note: You should be comfortable that DocuSign is an appropriate E-Signature provider, following your own independent assessment and research.

*

Draft Wording for certification

I **WILLIAM SMITH**, a Suitable Certifier for XYZ investment Limited (EOU Number 123456) do certify that this image of XXXXXXXX is a true likeness of the original document and has been carried out by WhatsApp (<<INSERT EQUIVALENT APPLICATION>>).

Signed William Smith

Dated 1st January 2020



HANSARD
WORLDWIDE

Hansard Worldwide Limited

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