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# Certificated Bond Application

Form

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**This investment product is restricted to persons categorised as Self-Certified Sophisticated Investors and High Net Worth Individuals only.**

### The application process

For the purpose of KYC/AML verification please supply the following:

#### NATURAL PERSONS

UK RESIDENT INVESTOR	
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Confirmation of UK residential address - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number

NON UK RESIDENT INVESTOR	
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Proof of address - residential utility bill (i.e.: gas or electricity) or bank statement in own name dated within the last 3 months - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number

#### Legal persons (i.e.: unregulated company)

RESIDENT DIRECTORS & NON UK RESIDENT DIRECTORS	
For each UK Resident Directors & Non UK Resident Directors we will require:	
<input type="checkbox"/>	Register of Directors
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Proof of address, residential utility bill (i.e.: gas or electricity) or bank statement in own name dated within the last 3 months - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number
<input type="checkbox"/>	Register of Shareholders

#### Legal persons (i.e.: regulated company)

<input type="checkbox"/>	FCA (Financial Conduct Authority) or equivalent reference number
<input type="checkbox"/>	Authorised signatory list with specimen signatures

**A confirmation of the Source of Wealth will be required for all applications from a professional advisor, this includes but is not limited to financial advisers/solicitors/accountants. In addition to this we will also require a link to where we can view the advisor's professional status.**

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## Applicant details

First name:

Middle name:(s)

Surname:

Title: (Mr./Mrs...)

Other - please provide:

Company Name:

Name to appear in contract:

Date of birth:

Nationality:

Occupation: (please tell us your previous occupation if you are now retired)

I am retired

Personal Address:

Previous Mailing Address:  
(Last 5 years)

Company Registered Address  
and Mailing Address:

Country:

Country:

Country:

Postcode/ZIP code:

Landline: (including International dialling code)

Mobile: (including International dialling code)

E-mail address:

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## Subscription information

Source of funds:

  
  


Bond Subscription Amount:

## Select the bond you wish to trade

- GBP ISIN: GB00BMFXJ441 (Maturity 10/01/2028)
- USD ISIN: GB00BMFXJ557 (Maturity 10/01/2028)
- EUR ISIN: GB00BMFXJ334 (Maturity 10/01/2028)

Name of bank or trading platform placing the trade:

### For all EURO Bond Transactions

Account Name: Propifi Investments Limited  
 Account Number: 28481601  
 Sort Code: 23-12-28  
 Bank Name: Ebury  
 IBAN: GB74EBUR23122828481601  
 SWIFT: EBURGBA1

### For all GBP Bond Transactions

Account Name: Propifi Investments Limited  
 Account Number: 23753492  
 Sort Code: 20-98-68  
 Bank Name: Barclays Plc  
 IBAN: GB15BUKB20986823753492  
 SWIFT: BUKBGB22

### For all USD Bond Transactions

Account Name: Propifi Investments Limited  
 Account Number: 17423721  
 Sort Code: 23-12-28  
 Bank Name: Ebury  
 IBAN: GB35EBUR23122817423721  
 SWIFT: EBURGBA1

Please complete in full using capital letters

**Payments to Applicant.** (Your Bank Account Details)

Sales Reference: (Office use only)

First Name:

Surname:

Bank Name:

Sort Code:

Swift/BIC Code:

IBAN:

Country of Account:

Bank Address:

Account Holder's Full Name: (Joint Account Holder's Full Name, if applicable)

Account Number:

Additional Information:

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## Investor Certification

The Applicant warrants that they fall within one of the following categories:

- an “investment professional” as defined under the terms of international regulations;
- a “certified high net worth individual” as defined and meeting the requirements of international standards;
- a “high net worth company, unincorporated association, etc” as defined and meeting the requirements of international standards;
- a “certified sophisticated investor” as defined and meeting the requirements of international standards; or
- a “self-certified sophisticated investor” as defined and meeting the requirements of international standards.

### Statement for Certified High Net Worth Individual

I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- (b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority (or its predecessor, namely the Financial Services Authority);
- (c) by signing this statement, I may lose significant rights;
- (d) I may have no right to complain to either of the following:
  - (i) the Financial Conduct Authority; or
  - (ii) the Financial Ombudsman Scheme; and
  - (iii) I may have no right to seek compensation from the Financial Services Compensation Scheme.

**I am a certified high net worth individual because at least one of the following applies:**

- (a) I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
- (b) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:
  - (i) the property which is my primary residence or any loan secured on that residence;
  - (ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
  - (iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

I accept that the investments to which the promotion relates may expose me to significant risk of losing all of the money or other property invested. I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

Signature:

Date:

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## Statement for Self-Certified Sophisticated Investor

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:

- (f) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- (g) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority (or its predecessor, namely the Financial Services Authority);
- (h) by signing this statement I may lose significant rights;
- (i) I may have no right to complain to either of the following:
  - (iii) the Financial Conduct Authority; or
  - (iv) the Financial Ombudsman Scheme; and
- (j) I may have no right to seek compensation from the Financial Services Compensation Scheme.

### I am a self-certified sophisticated investor because at least one of the following applies:

- (a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- (b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- (c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- (d) I am currently or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that the investments to which the promotion relates may expose me to significant risk of losing all of the money or other property invested. I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

Signature:

Date:

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**To comply with regulation and for Anti Money Laundering Verification purposes we request that a professional advisor complete the below declaration.**

This includes but is not limited to financial advisers/solicitors/accountants/bank manager

- I declare that in my capacity as an advisor, the information provided in this form has been scrutinised and analysed in compliance with Regulation 28 of the Money Laundering Regulations (2007) and to the best of my/our knowledge and belief, the information in this form accurate and complete and is representative of the individual's/company's source of wealth.

Investor Name/s:

Advisor Name:

Status:

Regulatory Number: (if applicable)

Company Name:

Regulatory Number:

Business Address & Web Address:

WWW.

Email Address:

Signed:

\_\_\_\_\_

Date:

\_\_\_\_\_

Print Name:

\_\_\_\_\_

Stamp: