
Certificated Bond Application

Form

This investment product is restricted to persons categorised as Self-Certified Sophisticated Investors and High Net Worth Individuals only.

The application process

For the purpose of KYC/AML verification please supply the following:

NATURAL PERSONS

UK RESIDENT INVESTOR	
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Confirmation of UK residential address - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number

NON UK RESIDENT INVESTOR	
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Proof of address - residential utility bill (i.e.: gas or electricity) or bank statement in own name dated within the last 3 months - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number

Legal persons (i.e.: unregulated company)

RESIDENT DIRECTORS & NON UK RESIDENT DIRECTORS	
For each UK Resident Directors & Non UK Resident Directors we will require:	
<input type="checkbox"/>	Register of Directors
<input type="checkbox"/>	Passport - valid colour copy ensuring the machine readable code is legible
<input type="checkbox"/>	Proof of address, residential utility bill (i.e.: gas or electricity) or bank statement in own name dated within the last 3 months - If the individual has lived at their current address for less than one year please provide confirmation of their residential address(es) for the last 5 years
<input type="checkbox"/>	Contact email address and mobile phone number
<input type="checkbox"/>	Register of Shareholders

Legal persons (i.e.: regulated company)

<input type="checkbox"/>	FCA (Financial Conduct Authority) or equivalent reference number
<input type="checkbox"/>	Authorised signatory list with specimen signatures

A confirmation of the Source of Wealth will be required for all applications from a professional advisor, this includes but is not limited to financial advisers/solicitors/accountants. In addition to this we will also require a link to where we can view the advisor's professional status.

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Applicant details

First name:

Middle name:(s)

Surname:

Title: (Mr./Mrs...)

Other - please provide:

Company Name:

Name to appear in contract:

Date of birth:

Nationality:

Occupation: (please tell us your previous occupation if you are now retired)

I am retired

Personal Address:

Previous Mailing Address:
(Last 5 years)

Company Registered Address
and Mailing Address:

Country:

Country:

Country:

Postcode/ZIP code:

Landline: (including International dialling code)

Mobile: (including International dialling code)

E-mail address:

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Subscription information

Source of funds:

Bond Subscription Amount:

Select the bond you wish to trade

- GBP ISIN: GB00BMFXJ441 (Maturity 10/01/2028)
- USD ISIN: GB00BMFXJ557 (Maturity 10/01/2028)
- EUR ISIN: GB00BMFXJ334 (Maturity 10/01/2028)

Name of bank or trading platform placing the trade:

For all EURO Bond Transactions

Account Name: Propifi Investments Limited
 Account Number: 28481601
 Sort Code: 23-12-28
 Bank Name: Ebury
 IBAN: GB74EBUR23122828481601
 SWIFT: EBURGBA1

For all GBP Bond Transactions

Account Name: Propifi Investments Limited
 Account Number: 23753492
 Sort Code: 20-98-68
 Bank Name: Barclays Plc
 IBAN: GB15BUKB20986823753492
 SWIFT: BUKBGB22

For all USD Bond Transactions

Account Name: Propifi Investments Limited
 Account Number: 17423721
 Sort Code: 23-12-28
 Bank Name: Ebury
 IBAN: GB35EBUR23122817423721
 SWIFT: EBURGBA1

Please complete in full using capital letters

Payments to Applicant. (Your Bank Account Details)

Sales Reference: (Office use only)

First Name:

Surname:

Bank Name:

Sort Code:

Swift/BIC Code:

IBAN:

Country of Account:

Bank Address:

Account Holder's Full Name: (Joint Account Holder's Full Name, if applicable)

Account Number:

Additional Information:

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High net worth individual investor statement

If you meet condition A or B below, you may choose to be classified as a high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

In the last financial year, did you have:

A) An annual income of £170,000 or more? Income does NOT include any one-off pension withdrawals.

No

Yes

If yes, please specify your income (as defined above) to the nearest £10,000 in the last financial year £_____

AND/OR

B) Net assets of £430,000 or more? Net assets do NOT include: your home (primary residence), any loan secured on it or any equity released from it; your pension (or any pension withdrawals) or any rights under insurance contracts. Net assets are total assets minus any debts you owe.

No

Yes

If yes, please specify your net assets (as defined above) to the nearest £100,000 in the last financial year £_____

OR

C) None of these apply to me.

Yes

I declare that I have answered yes to A and/or B, and wish to be treated as a high net worth individual.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA);
- b) I can expect no protection from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature _____ Date _____

Self-certified sophisticated investor statement

If you meet condition A, B or C below, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001.

Have you:

A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

No

Yes

If yes, what is/was the name of the business/organisation? _____

B) Been the director of a company with an annual turnover of at least £1.6 million, in the last two years?

No

Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)? _____

C) Been a member of a network or syndicate of business angels for more than six months, and are still a member?

No

Yes

If yes, what is the name of the network or syndicate? _____

OR

D) None of these apply to me.

Yes

I declare that I have answered yes to A and/or B and/or C, and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA);
- b) I can expect no protection from the FCA, the Financial Ombudsman Service, or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature _____ Date _____

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High net worth individual investor statement

If you meet condition A or B below, you may choose to be classified as a high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001.

In the last financial year, did you have:

A) An annual income of £170,000 or more? Income does NOT include any one-off pension withdrawals.

No

Yes

If yes, please specify your income (as defined above) to the nearest £10,000 in the last financial year £ _____

AND/OR

B) Net assets of £430,000 or more? Net assets do NOT include: your home (primary residence), any loan secured on it or any equity released from it; your pension (or any pension withdrawals) or any rights under insurance contracts. Net assets are total assets minus any debts you owe.

No

Yes

If yes, please specify your net assets (as defined above) to the nearest £100,000 in the last financial year £ _____

OR

C) None of these apply to me.

Yes

I declare that I have answered yes to A and/or B, and wish to be treated as a high net worth individual.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA);
- b) I can expect no protection from the FCA, the Financial Ombudsman Service, or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature _____ Date _____

To comply with regulation and for Anti Money Laundering Verification purposes we request that a professional advisor complete the below declaration.

This includes but is not limited to financial advisers/solicitors/accountants/bank manager

- I declare that in my capacity as an advisor, the information provided in this form has been scrutinised and analysed in compliance with Regulation 28 of the Money Laundering Regulations (2007) and to the best of my/our knowledge and belief, the information in this form accurate and complete and is representative of the individual's/company's source of wealth.

Investor Name/s:

Advisor Name:

Status:

Regulatory Number: (if applicable)

Company Name:

Regulatory Number:

Business Address & Web Address:

Email Address:

Signed:

Date:

Print Name:

Stamp: