

SA ALPHA PCC LIMITED – BOWMOOR GLOBAL ALPHA FUND PC APPLICATION FORM FOR INDIVIDUALS

ADMINISTRATOR

Northern Trust International Fund Administration Services (Guernsey) Limited
P O Box 255, Trafalgar Court, Les Banques, St. Peter Port, Guernsey GY1 3QL

FUND CORRESPONDENCE DETAILS

Please ensure that **ALL** applicable correspondence is sent to the contact details stated below:

Northern Trust International Fund Administration Services (Ireland) Limited
2nd Floor, Block A, City East Plaza, Towlerton, Ballysimon, Limerick, V94 X2N9, Ireland

Telephone: +353 1 434 5122

Facsimile: +353 1 531 8578

Email Address for investor queries: SAAalpha_TA_Queries@ntrs.com
Email address for dealing instructions only: SAAalphaTAInstructions@ntrs.com
(Please refer to the email indemnity in Appendix D)

SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC Application Form for Individuals

Please complete this form in blue or black ink using BLOCK CAPITALS. This Application Form, together with the Anti-Money Laundering Verification Requirements and Qualified Investor Status constitutes your agreement to subscribe for Shares (the “Shares”) in Bowmoor Global Alpha Fund PC (the “Cell”), a cell of SA Alpha PCC Limited (the “Company”). You should email, post or fax your subscription to the correspondent administrator, Northern Trust International Fund Administration Services (Ireland) Limited (the “Administrator”).

Prospective subscribers should inform themselves as to the legal requirements within their country of residence / domicile for the purchase of Shares and any foreign exchange or tax considerations relevant to such purchase.

Please ensure that you have read the Scheme Particulars relating to the Company (the “Scheme Particulars”) together with the Supplemental Scheme Particulars (the “Cell Particulars”) relating to the applicable Cell before completing this Application Form. Defined terms used in this Application Form are those used in the Scheme Particulars and/or the Cell Particulars (unless the context otherwise requires).

Dealing Procedure

All application, redemption, transfer requests and instructions in relation to the Shares in the Fund must be received before the time(s) specified in the relevant Cell Particulars for the Fund. Applications, redemptions and transfer requests received after that time will generally be deemed to have been received for the next Dealing Day.

No redemption payment may be made until the Administrator is satisfied that all the necessary anti-money laundering checks have been completed in full.

By ticking the box opposite, I hereby consent to the provision of contract notes, statements and other reports, by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the Fund.	
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Anti-Money Laundering Requirements

All Investors must meet the Anti-Money Laundering requirements detailed in Appendix A.

FATCA Certification

All Investors must complete the FATCA and CRS Self-Certification in Appendix B and provide any additional information that is requested.

Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities. If any of the information provided about your tax residence or FATCA or CRS classification changes in the future, please ensure you advise us of these changes promptly.

Signature

All Investors must sign on page 11.

FUND AND SETTLEMENT CURRENCY

Please tick to specify the share class to subscribe and complete the "Monetary Amount" and "Amount in Words" sections below

Name of Fund	SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC			
Class	Currency	Minimum Investment Amount	ISIN Code	Please Tick
A shares	GBP	£ 1,000	GG00BPLW4Z26	
B shares	GBP	£ 1,000	GG00BPLWVP52	
C shares	GBP	£ 1,000	GG00BPLWPZ90	
D shares	USD	\$ 1,000	GG00BPLWQ018	
E shares	GBP	£ 1,000	GG00BPLWQM37	
F shares	EUR	€ 1,000	GG00BPLWR313	
G shares	USD	\$ 1,000	GG00BPLWR750	<input checked="" type="checkbox"/>
Monetary Amount	USD	25,0000		
Amount in Words	Twenty-Five Thousand Dollars			

NAMES(S) FOR REGISTRATION (IN BLOCK CAPITALS) OF SHARES AND COMMUNICATIONS	
Shareholder 1	Shareholder 2
First Name & Family Name	First Name & Family Name
Former	Former
Nationality	Nationality
Date of Birth	Date of Birth
Street 1	Street 1
Street 2	Street 2
Town	Town
Country	Country
Postcode	Postcode
Contact Telephone Number	Contact Telephone Number
Contact Email Address	Contact Email Address
Mailing address (if different from the address of the first named holder)	
Source of Wealth (activities that generated the applicants total net worth)	
Source of Funds (activity that generated the funds for this specific transaction)	

Banking Details

Important information regarding bank accounts for subscriptions and redemptions.

Third party payments are not permitted for redemptions. Please confirm the details of the bank accounts from which and to which payments will be made below.

A copy of a recent bank statement (no more than 6 months old) or a banker's reference, must accompany the application to evidence the bank account details provided for subscriptions and redemption payments are for a bank account in the registered account name.

To meet our anti-money laundering and counter terrorist financing obligations under the current legislation, all joint bank account holders will be required to complete identity and verification requirements, even where those joint bank account holders will not be registered holders of Shares in the fund.

Failure to provide all the bank account information and documentation requested as part of this application may result in a delay in setting up the account and subscribing to the fund.

THIS SECTION MUST BE COMPLETED BY ALL INVESTORS

BANK ACCOUNT DETAILS FOR SETTLEMENT OF REDEMPTION PROCEEDS (MANDATORY)	
Name of Bank	
Address of Bank	
Sort / SWIFT Code	
Fedwire ABA	
CHIPS ABA	
Account Name	
Account / IBAN Number	
Correspondent Bank Details (if applicable)	
Beneficiary Bank	
Beneficiary Sort / SWIFT Code	
Beneficiary Account Name	
Beneficiary Account / IBAN Number	
For Further Credit to (if applicable)	
Account Name	
Account / IBAN Number	
Payment Reference	

Important Note: Subscription monies must be remitted from the account of the investor.

METHOD OF PAYMENT

Please pay monies in accordance with the deadlines outlined in the relevant Cell Particulars for the Fund
Please remit payment to the following bank account:

GBP Payment Details

Intermediary Bank	Barclays Bank PLC, London
Intermediary Bank SWIFT Code	BARCGB22
Intermediary Bank Sort Code	20-32-53
Beneficiary Banks Account Number	53529495
Beneficiary Bank	The Northern Trust International Banking Corporation, New Jersey
SWIFT Code	CNORUS33
Account Name	NTIFASGL as Designated Manager to SA Alpha PCC Ltd re Bowmoor Global Alpha Fund PC Client Monies - GBP
Account Number	613703-20019
Reference investor name and / or register number	<State investor name and / or register number>

USD Payment Details

Beneficiary Bank	The Northern Trust International Banking Corporation, New Jersey
CHIPS / Fedwire ABA	0112 / 026001122
SWIFT Code	CNORUS33
Account Name	NTIFASGL as Designated Manager to SA Alpha PCC Ltd re Bowmoor Global Alpha Fund PC Client Monies - USD
Account Number	247015-20010
Reference investor name and / or register number	<State investor name and / or register number>

EUR Payment Details

Intermediary Bank	Barclays Bank PLC, Frankfurt
Intermediary Bank SWIFT Code	BARCDEFF
Beneficiary Account Number	0210472800
Beneficiary Bank	The Northern Trust International Banking Corporation, New Jersey
SWIFT Code	CNORUS33
Account Name	NTIFASGL as Designated Manager to SA Alpha PCC Ltd re Bowmoor Global Alpha Fund PC Client Monies - EUR
Account Number	613687-20019
Reference investor name and / or register number	<State investor name and / or register number>

AGENT DETAILS

Agent Contact Name	
Agent Name	
Agent Address	
Contact Telephone Number	
Contact Email Address	
Regulator	
Regulator Approval Number	
Agency Number	

Commission Terms agreed with the Fund Promoter	
Agents Stamp	

Representations and Warranties

- I/We declare that I am/we are not a "United States person" (within the meaning of Regulation S of the United States Securities Act 1933) and that I am/we are not applying for Shares as nominees(s) for or on behalf of any such person(s). I/We will notify the Fund immediately if I/we become a United States person.
- I/We agree that I/we will not resell, reoffer or otherwise transfer any Shares to a "United States person" without registration under the US Securities Act of 1933, as amended (the "Securities Act"), or an exemption therefrom.
- I/We acknowledge that the Shares subscribed for hereunder have not been and will not be registered under the securities laws of any jurisdiction and, therefore, cannot be resold, reoffered or otherwise transferred unless done so in compliance with applicable securities laws. I/we acknowledge that the Fund is under no obligation to register the Shares on my/our behalf or to assist me/us in complying with any applicable securities laws.
- I/We acknowledge that the Shares can only be transferred in accordance with the Scheme Particulars and the Cell Particulars.
- I/We acknowledge that the Fund may compulsorily redeem all or any portion of my/our Shares in the Directors' discretion in accordance with the Scheme Particulars.
- I/We represent that I/we have not been solicited to purchase Shares while present in the United States, its territories or possessions nor have the funds to be utilised for such purchase been obtained from any United States person.
- I/We represent that I/we meet all suitability standards imposed. I/We represent that the Shares are being acquired for investment purposes and that neither the Shares nor any interest therein will be transferred to a United States person or be transferred within the United States, its territories or possessions.
- I/We confirm that I am/we are 18 years of age or over, aware of the risks involved in investing in the Company, and an Eligible Investor (as defined in the Scheme Particulars) and am/are not acquiring Participating Shares as a nominee for, a person who is not an Eligible Investor nor do I/we intend selling or transferring any Participating Shares which I/we may purchase to any person who is not an Eligible Investor.
- I/We are a Qualified Investor(s) as defined in the Scheme Particulars and as indicated below and confirm that I/we will not acquire an interest in the Fund as a nominee for a non-Qualified Investor(s).
- I/We hereby irrevocably apply for such number of Shares (including fractions) at a price determined in accordance with the Scheme Particulars. I/We acknowledge that the Fund reserves the right to reject any application in whole or in part.
- I/We warrant that all action necessary to authorise this subscription has been duly taken and that this subscription is validly and lawfully made.
- I am/We are acquiring Shares for investment purposes and solely for my/our own account and not with a view to or a present intention of reselling them.
- I/We hereby confirm that the Fund, its Directors and the Administrator are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us by facsimile. If instructions are given by me/us by facsimile, I/we undertake to confirm them in writing. I/We hereby undertake not to hold liable the Fund, its Directors and the Administrator against any loss of any nature whatsoever arising as a result of any of them acting on facsimile instructions. The Fund, its' Directors and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
- I/We have read the Scheme Particulars and the Cell Particulars and understand and accept the information contained therein and the risks of investing in the Fund. I am/We are aware that the Fund's assets are subject to fluctuations in value and to risks inherent in all investments and that the price of Shares and the income arising from them may go down as well as up. I am/We are aware that the assets of the Fund may be subject to volatile price movements which may result in capital loss.
- I/We confirm that I/we understand that investors in the Fund is/are not protected by any statutory compensation arrangement in the event of the Fund's failure.
- I/We acknowledge that, other than as set out in the Scheme Particulars and the Cell Particulars, no representations or warranties have been made to me/us by the Fund, or by any agent, employee or representative of the Fund, and in entering into this transaction I am/we are not relying upon any information, other than that contained in the Scheme Particulars and Cell Particulars and the results of my/our own independent investigation.

- I/We have made an independent determination of the investment, accounting, legal and tax aspects of acquiring the Shares and have depended on the advice of my/our own counsel and accountants and agree that the Fund has no responsibility with respect to such matters and such advice.
- I/We warrant that the information set forth herein and in any other document delivered by me/us to the Fund in terms of this subscription are accurate and true.
- I/We understand and accept that in the event that the Fund accepts my/our subscription prior to confirmation of identification to the satisfaction of the Fund and the Administrator, and such confirmation is not forthcoming, the Shares allotted to me/us will be compulsorily redeemed and the proceeds returned to the bank account from which the original subscription was remitted, at my/our expense without interest and less any loss in value since receipt.
- I/We further understand and accept that the Fund and Administrator will not issue confirmation of the acceptance of my/our investment, or details of the number of Shares allotted to me/us until such time as the Administrator has received the documentation verifying my/our identity to its satisfaction.
- The application for Shares, and remittance of the associated funds will not breach in any manner, any rules and regulations designed to prevent money laundering.
- I/We will provide additional verification of identity if requested by the Administrator in accordance with the requirements, present or future, of Guernsey or any other jurisdiction whose regulations apply to the Fund.
- I/We are not named on a list of prohibited countries, territories, entities and individuals maintained by the US Treasury Department's Office of Foreign Assets Control ("OFAC").
- I/We understand that the tax disclosure set forth in the Scheme Particulars and the relevant supplements thereto is of a general nature and may not cover the jurisdiction in which I am/we are subject to taxation and that the tax consequences of my/our purchase of Shares depend on my/our individual circumstances.
- (In respect of joint applicants only) we direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last of such survivor or survivors.
- I/We consent to personal information obtained in relation to me/us being handled by the Administrator, the Company, or the Investment Manager and their delegates, agents or affiliates in accordance with the Data Protection (Bailiwick of Guernsey) Law 2017, as amended. Information in relation to me/us will be held, used, disclosed and processed for the purposes of (a) managing and administering my/our holdings in the Fund and any related account on an ongoing basis; (b) for any other specific purposes where I have given specific consent to do so; (c) to carry out statistical analysis and market research (d) to comply with any applicable legal or regulatory obligations including legal obligations under company law and anti-money laundering legislation; (e) and for disclosure and transfer whether in Guernsey or elsewhere (including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Guernsey) to third parties including my/our financial adviser (where appropriate), regulatory bodies, auditors, technology providers or to the Fund and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; (f) for other legitimate business interests of the Fund. I/We hereby acknowledge my/our right of access to and the right to amend and rectify my/our personal data, as provided herein. I/We understand that the Company and at times the Administrator are data controllers and will hold any personal information provided by me/us in confidence and in accordance with the Data Protection (Bailiwick of Guernsey) Law 2017, as amended and as detailed in the Fund Data Privacy Notice in Appendix C. I/We consent to the recording of telephone calls that I/we make to and receive from the Administrator, the Company or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes. I/We consent to the Company or the Investment Manager sending information about other investment services to me/us by letter, telephone or other reasonable means of communication. I/We understand that I/we have a right not to receive such information.
- I/We hereby authorise the Fund and the Administrator to retain all documentation provided by me/us in relation to my/our investment in the Fund for such period of time as may be required by Guernsey law, but for not less than five years after the period of investment has ended.
- I/We are not a US Taxpayer.
- By submitting this form of application, I/we agree to co-operate with the Fund, the Administrator and the Manager in ensuring that the Fund, the Administrator and the Manager can comply with their respective obligations under FATCA, CRS or the requirements of any similar laws or regulations to which the Fund and/or the manager may be subject from time to time; and that further to the extent that I/we do not comply with the foregoing, the Fund, the

Administrator and/or the Manager shall be entitled to withhold any taxes required to be withheld pursuant to any applicable legislation, regulation or agreements.

Signature

I / We declare that the information contained in this form and any attached documentation is true and accurate to the best of my/our knowledge and belief.

In the case of joint holders, ALL holders must complete this declaration.

Shareholder	Shareholder
Signed	Signed
Print Name	Print Name
Date	Date

Appendix A

Anti-Money Laundering Requirements Checklist

For the purposes of compliance with certain anti-money laundering laws and regulations in force in Guernsey and applicable to the Company, set out below is the documentation required to be provided by each investor together with their Subscription Agreements. Please note that as a condition of the acceptance by the Company of each Applicant's Subscription Agreement, the Company may request from any Applicant such further documentation as it considers necessary for the purposes of completing investor due diligence on such Applicant and any Beneficiaries of such Applicant ("CDD").

The suggested CDD to be provided by the Applicant is not exhaustive. If you have queries or require further guidance, please email NTIFASGL_CDD_TEAM@ntrs.com.

Where a "certified copy" of a document is required: The document must be certified by a qualified lawyer, notary, banker or accountant (in each case, a "Certifier") in the manner described below. The Administrator adopts a risk-based approach with respect to the acceptance of pdf certified true copy documentation. If is based in a low/medium risk jurisdiction, the Administrator may accept pdf (soft copy) certified CDD. If the certifier is based in a high-risk jurisdiction, original documentation will be required to be sent to the Company at the mailing address listed in Section One.

Identification Documents:

"I [printed name of Certifier] hereby confirm that I have met the individual and certify this to be a true copy of an original document which I have seen and that the photograph therein is a true likeness of the person described therein."

Date:

Printed name of Certifier:

Signature of Certifier:

Capacity as Certifier: [e.g. Lawyer]

Contact details: [Name of the firm the Certifier represents, telephone number and address]

All Other Documents:

"I [printed name of Certifier] hereby certify this to be a true copy of an original document which I have seen."

Date:

Printed name of Certifier:

Signature of Certifier:

Capacity as Certifier: [e.g. Lawyer]

Contact details: [Name of the firm the Certifier represents, telephone number and address]

If an Applicant has previously provided documentation to the Company in respect of its admission to any prior Funds, such Applicant may indicate this to the Company and identify the relevant documentation, which may be taken into consideration by the Company as part of the CDD.

If you have any queries concerning the completion of this Appendix or any of the documentation to be furnished by the Applicant pursuant to it, please email NTIFASGL_CDD_TEAM@ntrs.com in the first instance.

The Criminal Justice (Proceeds of Crime) (Bailiwick of Guernsey) Law, 1999 as amended ("the Law") and The Handbook on Countering Financial Crime and Terrorist Financing ("the Handbook") (together referred to in this Appendix as the "Regulations") require that underlying principals are also identified and sometimes verified by the collection of CDD on them. In addition, in certain circumstances you may be required to supply additional information, including by way of example, details of the source of funds (the activity that has generated the funds to be invested) and/or the source of wealth (the activities which have generated the total net worth of the person(s) investing).

The prompt provision of CDD should aid the speed of the investing process however, failure to provide this may result in the investment not being accepted (with any funds provided being returned) or the sum invested being blocked and not returned until acceptable CDD has been provided.

Individuals

Appendix A sets out the individuals for which there is required identification data or documents. Any individuals in Appendix A must be verified with full documentation provided in accordance with the applicable requirements set out herein.

A. INDIVIDUALS			
I. Required Identification Details / Documentation			
No.	Required Identification Details	Examples of Required Identification Documentation and Responses (where applicable)	Please Tick if Provided
1.	Full Name	Current Passport; Current National Identity Card; and Armed Forces Identity Card	
2.	Date of Birth		
3.	Place of Birth		
4.	Nationality		
5.	Personal Identification Number		
6.	Former Names (if applicable)	None required	N/a
7.	Profession		N/a
8.	Permanent Residential Address (PO Box, C/O addresses are not permissible)	Current Utility Bill (excluding mobile phone); Lease / Tenancy Agreement; and if not detailed in the Subscription Agreement, a letter from an employer or individual confirming such details will suffice	

Suitable Certifiers

The following is a list of examples of acceptable persons to certify evidence of identity. This list is not intended to be exhaustive (if you not intending to use one of following types of individuals to certify documents please email NTIFASGL_CDD_Team@ntrs.com to confirm if the type to be used will be acceptable).

- A member of the judiciary, a senior civil servant, or a serving police or customs officer; an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity;
- A lawyer or notary public who is a member of a recognised professional body;
- An actuary who is a member of a recognised professional body;
- An accountant who is a member of a recognised professional body;
- A member of the Institute of Chartered Secretaries and Administrators;
- A Director or Officer of an Appendix C financial services business or of a financial services business subject to group/parent policy where the Head Office is situated in a country or territory listed in Appendix C to the Guernsey Financial Services Commission Handbook for Financial Services Businesses on Countering Financial Crime and Terrorist Financing (the “**Handbook**”);
- With respect to the required identification to be provided by an Applicant or a Beneficiary that is a legal entity (the “**Relevant Legal Entity**”) in relation to itself (but not, for the avoidance of doubt, with respect to any required identification that such Relevant Legal Entity is required to submit pursuant to the relevant Part of Appendix in relation to any other person or entity (e.g. a beneficial owner or a director)):
 - Where the Relevant Legal Entity is a company, the company secretary or a director of such company;
 - Where the Relevant Legal Entity is a limited partnership, a company secretary, director, member or equivalent officer of the general partner or manager of such limited partnership; or
 - Where the Relevant Legal Entity is a foundation, trust or other unincorporated association, a duly authorised representative of such foundation, trust or other unincorporated association.

The certifier must have met the individual where certifying evidence of identity containing a photograph and have sighted original documentation for verification.

The certifier needs to include the following information on the certification:

- Name
- Signature
- Address
- Capacity in which the certification is given
- Contact number (should there be a query with the certification)
- Date of certification
- Confirmation that the certifier has seen the original documentation and where it is for verification of identity, that the photograph contained in the document is a true likeness of the person that they have met.

Annex A: Appendix C List

This is a list of countries or territories whose regulated financial services businesses may be treated as if they were local. The list derives its name from the fact that it forms Appendix C to the Handbook.

Australia	Finland	Japan	Portugal
Austria	France	Jersey	Singapore
Belgium	Germany	Latvia	Slovenia
Bermuda		Liechtenstein	Spain
Bulgaria	Greece	Lithuania	Sweden
Canada	Hong Kong	Luxembourg	Switzerland
	Hungary		United Kingdom
Cyprus	Ireland	Netherlands	United States of America
Denmark	Isle of Man	New Zealand	
Estonia	Italy	Norway	

Appendix B

**Individual Self-Certification for FATCA and CRS
Entity Self-Certification for FATCA and CRS**

Individual Self-Certification for FATCA and CRS

Instructions for Completion

We are obliged under the applicable regulations of the local jurisdiction where the fund is domiciled with respect to the Foreign Account Tax Compliant Act (“**FATCA**”) and Common Reporting Standards (“**CRS**”), to collect certain information about each Account Holder’s tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances, we may be legally obliged to share this information and other financial information with respect to an Account Holder’s interests in the Fund with relevant tax authorities.

If you have any questions about this form or defining the Account Holders tax residency status, please consult your tax adviser or local tax authority.

For further information on FATCA, please refer to the following links at www.treasury.gov/resource-centre/tax-policy/treaties/Pages/FATCA.aspx and www.irs.gov/Businesses/Corporations/FATCAFAQs.

For further information on CRS, please refer to the following link at www.oecd.org/tax/automatic-exchange.

If any of the information below about the investors tax residence or FATCA/CRS classification changes in the future, please advise the recipient promptly of these changes.

Please note that where there are joint or multiple Account Holders, each individual is required to complete a separate **Individual Self-Certification for FATCA and CRS** form.

Sections 1, 2, 3 and 4 - Must be completed by all Account Holders.

(Mandatory fields are marked with an *)

Section 1: Account Holder Identification*

Account Holder Name*	
Place of Birth*	
Town or City of Birth*	
Country of Birth* (Do not abbreviate)	
Date of Birth (dd/mm/yyyy)*	
Current Residential Address*	
Number	
Street	
City, Town, State, Province or County	
Postal/ZIP Code	
Country (Do not abbreviate)	
Mailing address (if different from above):	
Number	
Street	
City, Town, State, Province or County	
Postal/ZIP Code	
Country (Do not abbreviate)	

Section 2: FATCA Declaration U.S. Citizenship or U.S. Residence for Tax purposes*

Please tick either (a) **or** (b) and complete as appropriate.

(a) I confirm that **[I am]/[the account holder is]** is a U.S. citizen and/or resident in the U.S. for tax purposes

OR

(b) I confirm that **[I am not]/[the account holder is not]** a U.S. citizen or resident in the U.S. for tax purposes

Section 3: CRS Declaration of Tax Residency (Note: you may have more than one tax residency)*

Please indicate your/the Account Holder's country/jurisdiction of tax residence. If resident in more than one country/jurisdiction, please detail all countries/jurisdictions of tax residence and associated taxpayer identification number or equivalent number ("TIN") for each country/jurisdiction indicated. Please refer to the OECD CRS Portal for more information on Tax Residency.

If a TIN is unavailable, please provide the appropriate reason **A, B** or **C** where indicated below:

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TIN's to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN (please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C – No TIN is required. (**Note:** Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

	Country of Tax Residency (Do not abbreviate)	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.			
1			
2			
3			

Section 4: Declarations and Undertakings*

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and all information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification within 30 days where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Shareholder 1	Shareholder 2
Authorised Signature*	Authorised Signature*
Print Name(s)*	Print Name(s)*
Date (dd/mm/yyyy)*	Date (dd/mm/yyyy)*
Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney, please also attach a certified copy of the power of attorney.	
Capacity*	

Appendix C

Fund Data Privacy Notice

Where your details are provided to SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC (the “Fund”) as a consequence of your investment in the Fund, then the Fund, acting as a data controller, may itself or through a third party, such as Northern Trust International Fund Administration Services (Guernsey) Limited (the “Administrator”) acting as a data processor in its capacity as the Fund’s administrator, process your personal information.

When processing your personal information, there may also be times where the Administrator will act as an independent data controller in respect of your personal information. For the avoidance of doubt, the Administrator will not at any time be acting as a joint controller with the Fund.

Purposes of Processing and Legal Basis for Processing

Your personal data may be processed by the Fund or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

- To facilitate the opening of your account with the Fund, the management and administration of your holdings in the Fund and any related account on an on-going basis which are necessary for the performance of your contract with the Fund, including without limitation the processing of subscription, redemption, conversion, transfer and additional subscription requests, and the payment of distributions;
- In order to carry out anti-money laundering checks and related actions which the Fund and/or the Administrator considers appropriate to meet any legal obligations imposed on the Fund and/or the Administrator relating to, or the processing in the public interest, or to pursue the legitimate interests of the Fund and/or the Administrator in relation to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis (collectively “AML checks”), in accordance with the Fund’s and /or the Administrator’s anti-money laundering procedures;
- To report tax related information to tax authorities in order to comply with a legal obligation;
- To monitor and record calls and electronic communications for (i) processing verification or instructions; (ii) investigation and fraud prevention purposes; (iii) for crime detection, prevention, investigation and prosecution; (iv) to enforce or defend the Fund’s, the Administrator or their affiliates’ rights, itself or through third parties to whom it delegates such responsibilities or rights in order to comply with any legal obligation imposed on the Fund or the Administrator; (v) to pursue the legitimate interests of the Fund or the Administrator in relation to such matters; or (vi) where the processing is in the public interest;
- To disclose information to other third parties, such as service providers of the Fund, auditors, regulatory authorities and technology providers, in order to comply with any legal obligation imposed on the Fund or the Administrator or in order to pursue the legitimate interests of the Fund or the Administrator;
- To monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Fund or the Administrator to improve their service delivery;
- To update and maintain records and carry out fee calculations in order to pursue the legitimate interests of the Fund or the Administrator or in order to comply with any legal obligation imposed on the Fund or the Administrator, and which are necessary to comply with the Fund’s or the Administrator’s legal obligations and / or which are necessary for the Fund’s, the Administrator’s or the Administrator’s affiliates’ legitimate interests indicated above and / or where the processing is in the public interest.

Recipients of Data and International Transfer of Data

The Fund may disclose your personal information as follows:

- To the Fund’s service providers, including the Administrator and other third-party service providers engaged by the Fund and its affiliates, in order to store or process the data for the above-mentioned purposes;

- To competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting; and
- To the Administrator and / or its affiliates (who may be acting as joint controllers with each other, but not the Fund):
 - To create a single source record, relating to you, which is held, maintained and used by the Administrator and its affiliates as joint controllers in pursuance of the Administrator's , its affiliates' and the Fund's legitimate interests;
 - Where you subscribe to use the Administrator's investor portal functionality, for the purposes of the performance of the Administrator's agreement with you in relation to your use of that portal functionality, including for security in connection with the portal;
 - To retain AML and other records of individuals to assist with subsequent screening of them by the Administrator or its affiliates, including in relation to other funds or clients of the Administrator or any of its affiliates in pursuance of the Administrator's, its affiliates' or its clients' legitimate interests and to meet any legal obligations imposed on the Administrator or its affiliates.

In any case where the Fund shares your personal information with a third-party data controller (including, as appropriate, the Administrator and its affiliates), the use by that third party of your personal information will be subject to the third party's own privacy policies. The Administrator's privacy policy may be accessed at protecteu.mimecast.com/s/SngfC4EySBvvoxtOdOEI?domain=northerntrust.com.

The disclosure of personal information to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside Guernsey in accordance with the requirements of the Data Protection (Bailiwick of Guernsey) Law 2017, as amended or replaced from time to time (the "Data Protection Law"). Such countries may not have the same data protection laws as your jurisdiction.

Where such countries are not recognised as having an adequate level of data protection by the European Commission (whose decisions are also recognized in Guernsey pursuant to The Data Protection (Bailiwick of Guernsey) Law 2017, the Fund (i) has, or has authorised the Administrator as its agent, to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred; (ii) acknowledges that the personal data will be transferred in accordance with the Binding Corporate Rules which have been adopted by the Northern Trust Group. Please contact Fund representative or the Administrator for copies of (i) the Standard Contractual Clauses that have been entered into on behalf of the Fund and / or (ii) the Northern Trust Binding Corporate Rules.

Retention Period

The Fund and the Administrator will retain your personal information for as long as required for the Fund or the Administrator to perform its services and / or carry out the purposes for which the data was collected or perform investigations in relation to the same and / or for additional periods where legal/regulatory obligations mandate that the Fund or the Administrator retains your personal information.

Updates to Personal Data

The Fund will use reasonable efforts to keep your personal information up to date. However, you will need to notify the Fund and the Administrator without delay in the event of any change in your personal circumstances, so that the Fund can keep the personal information up to date.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information
- Right to rectify your personal information
- Right to restrict the use of your personal information (in certain specific circumstances)
- Right to request that your personal information is erased (in certain specific circumstances)
- Right to object to processing of your personal information (in certain specific circumstances)
- Right to data portability (in certain specific circumstances)

Where the Fund or the Administrator requires your personal information to comply with AML or other legal requirements, failure to provide this information means the Fund may not be able to accept you as an investor in the Fund.

You have the right to lodge a complaint, if you consider that the processing of personal data relating to you carried out by the Fund or its service providers infringes the Data Protection Law where you are, or represent, an Investor in a Fund authorised in Guernsey, with the Office of the Data Protection Commissioner, contactable at St Martin's House, Le Bordage, St Peter Port, Guernsey GY1 1BR, enquiries@odpc.gg or +44 (0)1481 742074.

How to Contact Us

If you have any questions about our use of your personal information, please contact the Manager at SAAlpha_TA_Queries@ntrs.com.

Appendix D

Terms and Conditions of Service for Instructions Issued to The Administrator via Email

Introduction

If you (hereinafter referred to as the “Investor”) wish to send Northern Trust International Fund Administration Services (Guernsey) Limited, (the “Administrator”), instructions in respect of the Shares of the Cell in portable document format (“PDF”) or commonly used equivalent scanned form which is transmitted to the Administrator via email, then the terms as set out below will apply to the Investor’s account.

IT IS IMPORTANT THAT THE INVESTOR READS THESE TERMS AND CONDITIONS OF SERVICE CAREFULLY.

By completing the appropriate documentation (e.g. original subscription forms, additional subscription forms, transfer/switch requests or redemption forms) and instructing the Administrator in respect of the Shares of the Fund via email, the Investor will have accepted the following Terms and Conditions of Service.

A. Procedure for PDF Instructions

1. The email address to submit PDF instructions is SAAAlphaTAInstructions@ntrs.com. **This address is to be solely used for sending PDF instructions via email in respect of the Shares in the Fund;**
2. The Investor shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to a **blank email** which is addressed to SAAAlphaTAInstructions@ntrs.com;
3. Please ensure that your blank e-mail does **not** contain any text or non-text items including but not limited to logos. The PDF attachment will be reviewed **only** and any content within the body of the email will **not** be reviewed;
4. Each blank e-mail sent to the email address specified at (1) above may only include **one** PDF file attachment. However, the single PDF file attachment may contain multiple instructions in relation to shares in more than one Sub-Fund.

A blank e-mail with multiple PDF attachments will **not** be deemed valid and all such attachments will be rejected by the Administrator altogether;

5. PDF instructions received without the scanned PDF form attached will **not** be reviewed or accepted as valid;
6. Upon receipt of a valid email with the scanned PDF instruction, a ‘task number’ will automatically be issued. In this respect:
 - a. The task number shall purely serve as an acknowledgment of receipt of your instruction and shall **not** constitute confirmation that the instruction is either valid or has been placed;
 - b. The Administrator must be in **receipt** of the instruction **prior to** the relevant dealing deadline as set out in the Fund Prospectus. The Administrator will not be responsible for any delays in receipt;
 - c. The time and date of such receipt shall be per the acknowledgment in (6(a)) above, if issued. If a ‘task number’ is not received pursuant to (6(a)) above, it shall be the investor’s sole responsibility to contact the Administrator by telephone (details confirmed within [the application form]) to confirm that the Administrator has received the instruction in compliance with the deadline referred to at (6(b)) above.
7. In cases where you have supplied the Administrator with a “group” email address, the Administrator will have fully discharged its responsibilities where it has sent any communications pursuant to these Terms and Conditions to that “group” address.

B. General Terms and Conditions of Service:

1. In acting on any instructions pursuant to these Terms and Conditions, the Administrator is entitled to assume that (a) the instructions issued are executed validly, and (b) such instructions are in accordance with applicable law.

The Administrator is under no obligation to review the propriety or legality of any instructions received by it and shall act upon such instructions in good faith.

2. Any instruction issued to the Administrator pursuant to these Terms and Conditions shall continue in full force and effect until formally cancelled by the issuer notwithstanding whether such instruction is a duplicate or issued in error. Any duplicate or erroneous instructions issued pursuant to these Terms and Conditions or by other means may be acted upon in good faith by the Administrator and it shall solely be your responsibility to ensure any such erroneous or duplicate instructions are cancelled.
3. In issuing instructions pursuant to these Terms and Conditions, you acknowledge and accept that e-mail is not a secure form of communication and may be intercepted by unauthorised persons. Accordingly, you hereby agree to indemnify the Administrator, its officers and employees and authorised representatives against any liabilities, losses, claims, costs, damages, penalties, fines, obligations, or expenses of any kind whatsoever (including reasonable fees and legal expenses) which they may suffer or incur arising from or as a result of the interception, non-receipt, alteration or corruption of any e-mail communication sent or received by the Administrator and its employees and delegates or otherwise arising in respect of a breach of confidentiality caused by the interception, non-receipt, alteration or corruption of any e-mail communication.
4. The Administrator shall act on any instructions received pursuant to these Terms and Conditions unless it believes, acting reasonably and in good faith, that they are manifestly fraudulent, unlawful or constitute a suspicious transaction for the purposes of applicable law or regulations or have been given in error. The Administrator shall promptly inform you when it is not willing to act accordingly, provided it is legally able to do so.
5. The Administrator shall not be liable to you for any loss whatsoever and howsoever incurred by as a result of the performance or non-performance by the Administrator of its obligations and duties hereunder save where such loss is the direct result of the Administrator's fraud, wilful default or negligence. To the fullest extent permitted by applicable law, the Administrator excludes all liabilities arising out of or in connection with these Terms and Conditions for any indirect losses or consequential damages.

The Administrator shall, for the avoidance of doubt, incur no liability to you for any failure by you to issue instructions pursuant to, or in the format set out in, these Terms and Conditions or any, failure of transmission, interception, alteration or corruption of your email transmission, non-receipt of your electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, the Administrator will not be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the Administrator.

Additional Subscription Form

PLEASE COMPLETE THIS FORM IN BLUE OR BLACK INK USING BLOCK CAPITALS

Fund	SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC
Correspondent	C/o Northern Trust International Fund Administration Services (Ireland) Limited
Correspondent Address	2nd Floor, Block A, City East Plaza, Towlerton, Ballysimon, Limerick, V94 X2N9, Ireland
Correspondent Fax Number	+353 1 531 8578
Correspondent Email Address	SAAlphaTAInstructions@ntrs.com

REGISTERED INFORMATION

Registered Account Name	
Account Number	

DEAL INSTRUCTIONS

Amount to Be Subscribed				
Name of Fund	SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC			
Class	Currency	Minimum Investment Amount	ISIN Code	Please Tick
A shares	GBP	£ 1,000	GG00BPLW4Z26	
B shares	GBP	£ 1,000	GG00BPLWPV52	
C shares	GBP	£ 1,000	GG00BPLWPZ90	
D shares	USD	\$ 1,000	GG00BPLWQ018	
E shares	GBP	£ 1,000	GG00BPLWQM37	
F shares	EUR	€ 1,000	GG00BPLWR313	
G shares	USD	\$ 1,000	GG00BPLWR750	
Monetary Amount				
Amount in Words				

ORIGINATING ACCOUNT DETAILS

Name of Bank	
Address of Bank	
Sort / SWIFT Code	
Fedwire ABA	
CHIPS ABA	
Account Name	
Account / IBAN Number	

Correspondent Bank Details (if applicable)	
Beneficiary Bank	
Beneficiary Sort / SWIFT Code	
Beneficiary Account Name	
Beneficiary Account / IBAN Number	
For Further Credit to (if applicable)	
Account Name	
Account / IBAN Number	
Payment Reference	

Please note:

- Monies must originate from the account of the investor;
- Failure to complete these details accurately and in accordance with the original signed application form and forward monies within the settlement time scale may result in the loss of good value and an interest claim from the Company;
- I/We confirm that I/we have the authority to make this investment.

Signature

I / We declare that the information contained in this form and any attached documentation is true and accurate to the best of my/our knowledge and belief.

In the case of joint holders, ALL holders must complete this declaration.

Shareholder	Shareholder
Signed	Signed
Print Name	Print Name
Date	Date

**SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC
Redemption Form**

PLEASE COMPLETE THIS FORM IN BLUE OR BLACK INK USING BLOCK CAPITALS

Fund	SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC
Correspondent	C/o Northern Trust International Fund Administration Services (Ireland) Limited
Correspondent Address	2nd Floor, Block A, City East Plaza, Towlerton, Ballysimon, Limerick, V94 X2N9, Ireland
Correspondent Fax Number	+353 1 531 8578
Correspondent Email Address	SAAlphaTAInstructions@ntrs.com

REGISTERED INFORMATION

Registered Account Name	
Account Number	

DEAL INSTRUCTIONS

Amount to Be Redeemed				
Name of Fund	SA Alpha PCC Limited – Bowmoor Global Alpha Fund PC			
Class	Currency	Minimum Redemption Amount	ISIN Code	Please Tick
A shares	GBP	£ 1,000	GG00BPLW4Z26	
B shares	GBP	£ 1,000	GG00BPLWPV52	
C shares	GBP	£ 1,000	GG00BPLWPZ90	
D shares	USD	\$ 1,000	GG00BPLWQ018	
E shares	GBP	£ 1,000	GG00BPLWQM37	
F shares	EUR	€ 1,000	GG00BPLWR313	
G shares	USD	\$ 1,000	GG00BPLWR750	
Monetary Amount				
Amount in Words				
Or				
Number of Shares				
Shares in Words				
Or				
Total Shares Held		Tick if applicable		

BANK ACCOUNT DETAILS

Where the below bank account instructions differ from those held on file by the Administrator, a signed copy of the new instructions together with a copy of a bank statement (less than 6 months old) or banker's reference must be received by the Administrator in advance of the remittance of redemption proceeds).

Please note that the administrator no longer requires original documentation.

Where a signature is required, instructions must be provided by an authorised signatory or signatories.

Name of Bank	
Address of Bank	
Sort / SWIFT Code	
Fedwire ABA	
CHIPS ABA	
Account Name	
Account / IBAN Number	
Correspondent Bank Details (if applicable)	
Beneficiary Bank	
Beneficiary Sort / SWIFT Code	
Beneficiary Account Name	
Beneficiary Account / IBAN Number	
For Further Credit to (if applicable)	
Account Name	
Account / IBAN Number	
Payment Reference	

Signature

I/We confirm that I/we have the authority to make this redemption request.

In the case of joint holders, ALL holders must complete this declaration.

Shareholder	Shareholder
Signed	Signed
Print Name	Print Name

Date	Date
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